## fidessa SEC Rule 606 - Order Routing Disclosure

Report: FID606 Firm Name: Pictet Overseas Inc

Run on: 31 Dec, 2019 at 20:17 Activity Date: 12/31/2019 Quarter Starting On: 10/01/2019

Non-Directed Customer Orders Having a Market Value Less Than \$200,000:							7,965	
Non-Directed Customer Orders as a Pere	centage of Total C	ustomer Orders I	Having a Market V	/alue Less Than \$	200,000:		100.00%	
Non-Directed Customer Orders That Were:		Market Percentage # of Orders 0.00% 33		Limi Percentage 0.00%	t # of Orders 94	Other includir Percentage 0.00%		
Venue	Total Non-Directed Orders Percentage # of Orders		Non-Directed Market Orders Percentage # of Orders		Non-Directed Limit Orders Percentage # of Orders		Non-Directed Other Orders Percentage # of Orders	
ATM EXECUTION LLC : ATMC	0.00%	10	0.00%	0	0.00%	1	0.00%	9
BATS Y Exchange : BYXX	0.00%	303	0.00%	0	0.00%	1	0.00%	302
Bats Trading, Inc. : BATS	0.00%	176	0.00%	1	0.00%	2	0.00%	173
CLEARPOOL EXECUTION SERVICES, LLC	0.00%	288	0.00%	2	0.00%	4	0.00%	282
Credit Suisse Securities (USA) LLC : FBCO	0.00%	18	0.00%	0	0.00%	0	0.00%	18
Direct Edge EDGA Exchange : EDGA	0.00%	9	0.00%	1	0.00%	0	0.00%	8
Direct Edge EDGX Exchange : EDGX	0.00%	561	0.00%	0	0.00%	19	0.00%	542
IEX SERVICES LLC : IEXG	0.00%	1,242	0.00%	1	0.00%	60	0.00%	1,181
INSTINET, LLC : INCA	0.00%	13	0.00%	0	0.00%	0	0.00%	13
INTL FCSTONE FINANCIAL INC. : INTL	0.00%	35	0.00%	0	0.00%	0	0.00%	35
LIQUIDNET, INC. : LQNB	0.00%	109	0.00%	0	0.00%	0	0.00%	109
Maxim Group LLC : MAXM	0.00%	4	0.00%	0	0.00%	0	0.00%	4
Nasdaq Execution Services, LLC. : NSDQ	0.00%	628	0.00%	24	0.00%	1	0.00%	603
Pictet Overseas Inc. : PICT	0.00%	17	0.00%	0	0.00%	0	0.00%	17
SPEEDROUTE LLC : SPDR	0.00%	4,545	0.00%	4	0.00%	6	0.00%	4,535
VIRTU Americas LLC : NITE	0.00%	6	0.00%	0	0.00%	0	0.00%	6
SPEEDROUTE LLC : SPDR	0.00%	1	0.00%	0	0.00%	0	0.00%	1

Exchange: Exchange Listed Options

Non-Directed Customer Orders Having a Market Value Less Than \$50,000:

## 3,460

Non-Directed Customer Orders as a Perce	entage of Total C	ustomer Orders	Having a Market V	/alue Less Than \$	50,000:		100.00%	
Non-Directed Customer Orders That Were:			Market		Limit		Other including "Not Held"	
			Percentage 0.00%	# of Orders 0	Percentage 0.00%	# of Orders 0	Percentage 100.00%	# of Orders 3,460
Venue	Total Non-Directed Orders Percentage # of Orders		Non-Directed Market Orders Percentage # of Orders		Non-Directed Limit Orders Percentage # of Orders		Non-Directed Other Orders Percentage # of Orders	
DASH FINANCIAL TECHNOLOGIES LLC : D	0.00%	537	0.00%	0	0.00%	0	0.00%	537
INSTINET, LLC : INCA	0.00%	2,671	0.00%	0	0.00%	0	0.00%	2,671
MERRILL LYNCH, PIERCE, FENNER & SMIT	0.00%	252	0.00%	0	0.00%	0	0.00%	252
Exchange: NASDAQ Stock Exchange Securities	(NAS)							
Non-Directed Customer Orders Having a N	Market Value Les	s Than \$200,000	D:				31,418	
Non-Directed Customer Orders as a Perce	entage of Total C	ustomer Orders	Having a Market V	/alue Less Than \$3	200,000:		100.00%	
Non-Directed Customer Orders That Were:			Ma	arket	Limit	t	Other including "Not Held"	
			Percentage 0.00%	# of Orders 64	Percentage 0.00%	# of Orders 133	Percentage 0.00%	# of Orders 31,221
Venue	Total Non-Directed Orders Percentage # of Orders		Non-Directed Market Orders Percentage # of Orders		Non-Directed Limit Orders Percentage # of Orders		Non-Directed Other Orders Percentage # of Orders	
ATM EXECUTION LLC : ATMC	0.00%	117	0.00%	0	0.00%	1	0.00%	116
BATS Y Exchange : BYXX	0.00%	940	0.00%	0	0.00%	0	0.00%	940
Bats Trading, Inc. : BATS	0.00%	1,653	0.00%	0	0.00%	0	0.00%	1,653
CLEARPOOL EXECUTION SERVICES, LLC	0.00%	1,936	0.00%	2	0.00%	0	0.00%	1,934
Credit Suisse Securities (USA) LLC : FBCO	0.00%	518	0.00%	0	0.00%	0	0.00%	518
Direct Edge EDGA Exchange : EDGA	0.00%	184	0.00%	0	0.00%	0	0.00%	184
Direct Edge EDGX Exchange : EDGX	0.00%	1,517	0.00%	0	0.00%	16	0.00%	1,501
IEX SERVICES LLC : IEXG	0.00%	2,322	0.00%	4	0.00%	83	0.00%	2,235
INSTINET, LLC : INCA	0.00%	558	0.00%	0	0.00%	0	0.00%	558
INTL FCSTONE FINANCIAL INC. : INTL	0.00%	32	0.00%	0	0.00%	0	0.00%	32
LIQUIDNET, INC. : LQNB	0.00%	712	0.00%	0	0.00%	0	0.00%	712
Maxim Group LLC : MAXM	0.00%	69	0.00%	0	0.00%	0	0.00%	69
Nasdaq Execution Services, LLC. : NSDQ	0.00%	5,183	0.00%	52	0.00%	16	0.00%	5,115
Pictet Overseas Inc. : PICT	0.00%	26	0.00%	0	0.00%	0	0.00%	26
SPEEDROUTE LLC : SPDR	0.00%	15,651	0.00%	6	0.00%	17	0.00%	15,628

Activity Date: 12/31/2019

Exchange: New York Stock Exchange Securities (NYSE)

Non-Directed Customer Orders That Were:

Non-Directed Customer Orders Having a Market Value Less Than \$200,000:

Non-Directed Customer Orders as a Percentage of Total Customer Orders Having a Market Value Less Than \$200,000:

			Markot		Linne		other molading not here	
			Percentage 0.00%	# of Orders 82	Percentage 0.00%	# of Orders 145	Percentage 0.00%	# of Orders 54,773
Venue	Total Non-Directed Orders Percentage # of Orders		Non-Directed Market Orders Percentage # of Orders		Non-Directed Limit Orders Percentage # of Orders		Non-Directed Other Orders Percentage # of Orders	
ATM EXECUTION LLC : ATMC	0.00%	485	0.00%	1	0.00%	1	0.00%	483
BATS Y Exchange : BYXX	0.00%	2,473	0.00%	0	0.00%	2	0.00%	2,471
Bats Trading, Inc. : BATS	0.00%	1,374	0.00%	3	0.00%	0	0.00%	1,371
CLEARPOOL EXECUTION SERVICES, LLC	0.00%	1,684	0.00%	0	0.00%	2	0.00%	1,682
Credit Suisse Securities (USA) LLC : FBCO	0.00%	975	0.00%	0	0.00%	0	0.00%	975
Direct Edge EDGA Exchange : EDGA	0.00%	250	0.00%	0	0.00%	0	0.00%	250
Direct Edge EDGX Exchange : EDGX	0.00%	2,094	0.00%	0	0.00%	19	0.00%	2,075
IEX SERVICES LLC : IEXG	0.00%	3,017	0.00%	10	0.00%	90	0.00%	2,917
INSTINET, LLC : INCA	0.00%	658	0.00%	0	0.00%	0	0.00%	658
INTL FCSTONE FINANCIAL INC. : INTL	0.00%	21	0.00%	0	0.00%	0	0.00%	21
LIQUIDNET, INC. : LQNB	0.00%	1,203	0.00%	0	0.00%	0	0.00%	1,203
Maxim Group LLC : MAXM	0.00%	181	0.00%	0	0.00%	0	0.00%	181
Nasdaq Execution Services, LLC. : NSDQ	0.00%	2,594	0.00%	43	0.00%	1	0.00%	2,550
Pictet Overseas Inc. : PICT	0.00%	66	0.00%	0	0.00%	0	0.00%	66
Rosenblatt Securities Inc. : ROSN	0.00%	197	0.00%	0	0.00%	0	0.00%	197
SPEEDROUTE LLC : SPDR	0.00%	37,696	0.00%	25	0.00%	30	0.00%	37,641
VIRTU AMERICAS LLC : VALR	0.00%	2	0.00%	0	0.00%	0	0.00%	2
IEX SERVICES LLC : IEXG	0.00%	15	0.00%	0	0.00%	0	0.00%	15
SPEEDROUTE LLC : SPDR	0.00%	15	0.00%	0	0.00%	0	0.00%	15
	ATM EXECUTION LLC : ATMC BATS Y Exchange : BYXX Bats Trading, Inc. : BATS CLEARPOOL EXECUTION SERVICES, LLC Credit Suisse Securities (USA) LLC : FBCO Direct Edge EDGA Exchange : EDGA Direct Edge EDGX Exchange : EDGX IEX SERVICES LLC : IEXG INSTINET, LLC : INCA INTL FCSTONE FINANCIAL INC. : INTL LIQUIDNET, INC. : LQNB Maxim Group LLC : MAXM Nasdaq Execution Services, LLC. : NSDQ Pictet Overseas Inc. : PICT Rosenblatt Securities Inc. : ROSN SPEEDROUTE LLC : SPDR VIRTU AMERICAS LLC : VALR IEX SERVICES LLC : IEXG	VenueTotal Non-Dim PercentageATM EXECUTION LLC : ATMC0.00%BATS Y Exchange : BYXX0.00%Bats Trading, Inc. : BATS0.00%CLEARPOOL EXECUTION SERVICES, LLC0.00%Credit Suisse Securities (USA) LLC : FBCO0.00%Direct Edge EDGA Exchange : EDGA0.00%Direct Edge EDGX Exchange : EDGX0.00%INSTINET, LLC : INCA0.00%INSTINET, LLC : INCA0.00%INTL FCSTONE FINANCIAL INC. : INTL0.00%Maxim Group LLC : MAXM0.00%Nasdaq Execution Services, LLC. : NSDQ0.00%Pictet Overseas Inc. : PICT0.00%SPEEDROUTE LLC : SPDR0.00%VIRTU AMERICAS LLC : VALR0.00%IEX SERVICES LLC : IEXG0.00%	Venue         Total Non-Directed Orders Percentage           ATM EXECUTION LLC : ATMC         0.00%         485           BATS Y Exchange : BYXX         0.00%         2,473           Bats Trading, Inc. : BATS         0.00%         1,374           CLEARPOOL EXECUTION SERVICES, LLC         0.00%         1,684           Credit Suisse Securities (USA) LLC : FBCO         0.00%         975           Direct Edge EDGA Exchange : EDGA         0.00%         2,094           IEX SERVICES LLC : IEXG         0.00%         3,017           INSTINET, LLC : INCA         0.00%         2,094           IQUIDNET, INC. : LQNB         0.00%         2,094           IQUIDNET, INC. : LQNB         0.00%         2,094           Nasing Group LLC : MAXM         0.00%         2,094           Nasing Group LLC : MAXM         0.00%         1,203           Maxim Group LLC : MAXM         0.00%         2,594           Pictet Overseas Inc. : PICT         0.00%         2,594           Rosenblatt Securities Inc. : ROSN         0.00%         3,7696           VIRTU AMERICAS LLC : VALR         0.00%         2,594           VIRTU AMERICAS LLC : IEXG         0.00%         3,7696	Percentage 0.00%         Percentage # of Orders         Non-Directed Percentage           ATM EXECUTION LLC: ATMC         0.00%         485         0.00%           BATS Y Exchange: BYXX         0.00%         2,473         0.00%           Bats Trading, Inc.: BATS         0.00%         1,374         0.00%           CLEARPOOL EXECUTION SERVICES, LLC         0.00%         1,684         0.00%           Direct Edge EDGA Exchange : EDGA         0.00%         2,504         0.00%           Direct Edge EDGX Exchange : EDGX         0.00%         2,094         0.00%           INSTINET, LLC : INCA         0.00%         3,017         0.00%           INTL FCSTONE FINANCIAL INC. : INTL         0.00%         1,203         0.00%           IQUIDNET, INC. : LQNB         0.00%         1,203         0.00%           Naxing Group LLC : MAXM         0.00%         1,203         0.00%           Nasdaq Execution Services, LLC : NSDQ         0.00%         1,504         0.00%           Picted Overseas Inc : PICT         0.00%         3,7696         0.00%           SPEEDROUTE LLC : SPDR         0.00%         37,696         0.00%           VIRTU AMERICAS LLC : VALR         0.00%         15         0.00%	Percentage 0.00%# of Orders 2VenueTotal Non-Directed Orders PercentageNon-Directed Market Orders # of OrdersATM EXECUTION LLC : ATMC0.00%4850.00%1BATS Y Exchange : BYXX0.00%2,4730.00%0Bats Trading, Inc. : BATS0.00%1,3740.00%3CLEARPOOL EXECUTION SERVICES, LLC0.00%1,6840.00%0Direct Edge EDGA Exchange : EDGA0.00%2,0940.00%0Direct Edge EDGX Exchange : EDGX0.00%2,0940.00%0Direct Edge EDGX Exchange : EDGX0.00%3,0170.00%0INSTINET, LLC : INCA0.00%2,0940.00%0INSTINET, LLC : INCA0.00%3,0170.00%0INSTINET, LLC : INCA0.00%1,2030.00%0INSTINET, ILC : INCA0.00%1,2030.00%0INSTINET, ILC : INCA0.00%1,810.00%0ILQUIDNET, INC. : LQNB0.00%2,5940.00%43Pictet Overseas Inc. : PICT0.00%37,6960.00%0SPEEDROUTE LLC : SPDR0.00%37,6960.00%0IEX SERVICES LLC : IXAG0.00%150.00%0	Percentage 0.00%# of Orders 82Percentage 0.00%VenueTotal Non-Directed Orders PercentageNon-Directed PercentageMark EV Orders # of OrdersNon-Directed PercentageMon-Directed PercentageData S Exclusion Services, LLC : FBCO0.00%0.01%0.00% </td <td>Percentage 0.00%Percentage 80Percentage 0.00%Percentage 0.00%Percentage 145VeneTotal Non-Direct Percentage # of Orders PercentageMon-Direct PercentageNon-Direct PercentageImit Orders PercentageATM EXECUTION LLC: ATMC0.00%4850.00%10.00%1BATS Y Exchange : BYXX0.00%2.4730.00%00.00%2Bats Trading, Inc. : BATS0.00%1.6840.00%00.00%00CLEARPOOL EXECUTION SERVICES, LLC0.00%16840.00%00.00%000Direct Edge EDGA Exchange : EDGA0.00%2.090.00%00.00%00<!--</td--><td>Percentage 0.00%Percentage 0.00%Percentage 0.00%Percentage 0.00%Percentage 0.00%Percentage 0.00%Percentage 0.00%Percentage 0.00%Percentage 0.00%Percentage 0.00%Percentage 0.00%Non-Directed PercentageNon-Directed Pe</br></br></br></br></br></br></br></br></br></br></br></br></br></br></br></br></br></br></br></br></br></br></br></br></br></br></td></td>	Percentage 0.00%Percentage 80Percentage 0.00%Percentage 0.00%Percentage 145VeneTotal Non-Direct Percentage # of Orders PercentageMon-Direct PercentageNon-Direct PercentageImit Orders PercentageATM EXECUTION LLC: ATMC0.00%4850.00%10.00%1BATS Y Exchange : BYXX0.00%2.4730.00%00.00%2Bats Trading, Inc. : BATS0.00%1.6840.00%00.00%00CLEARPOOL EXECUTION SERVICES, LLC0.00%16840.00%00.00%000Direct Edge EDGA Exchange : EDGA0.00%2.090.00%00.00%00 </td <td>Percentage 0.00%Percentage 0.00%Percentage 0.00%Percentage 0.00%Percentage 0.00%Percentage 0.00%Percentage 0.00%Percentage 0.00%Percentage 0.00%Percentage 0.00%Percentage 0.00%Non-Directed PercentageNon-Directed Pe</br></br></br></br></br></br></br></br></br></br></br></br></br></br></br></br></br></br></br></br></br></br></br></br></br></br></td>	Percentage 0.00%Percentage 0.00%Percentage 0.00%Percentage 0.00%Percentage 0.00%Percentage 0.00%Percentage 0.00%Percentage 0.00%Percentage 0.00%Percentage 0.00%Percentage 0.00%Non-Directed PercentageNon-Directed 

Market

## End of SEC Rule 606 - Order Routing Disclosure Report

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55,000 100.00%

Limit

Other including "Not Held"