

# fidessa SEC Rule 606 - Order Routing Disclosure

Report: FID606 Firm Name: Pictet Overseas Inc

Run on: 28 Jun, 2019 at 20:22  
Activity Date: 06/28/2019  
Quarter Starting On: 04/01/2019

## Exchange: ASE and All Other National Exchanges

Non-Directed Customer Orders Having a Market Value Less Than \$200,000:	8,459																				
Non-Directed Customer Orders as a Percentage of Total Customer Orders Having a Market Value Less Than \$200,000:	100.00%																				
Non-Directed Customer Orders That Were:																					
	<table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 25%;"></td> <td style="width: 25%; text-align: center;">Market</td> <td style="width: 25%; text-align: center;">Limit</td> <td style="width: 25%; text-align: center;">Other including "Not Held"</td> </tr> <tr> <td></td> <td style="text-align: center;">Percentage</td> <td style="text-align: center;"># of Orders</td> <td style="text-align: center;">Percentage</td> </tr> <tr> <td></td> <td style="text-align: center;">0.00%</td> <td style="text-align: center;">22</td> <td style="text-align: center;">0.00%</td> </tr> <tr> <td></td> <td></td> <td style="text-align: center;">69</td> <td style="text-align: center;">0.00%</td> </tr> <tr> <td></td> <td></td> <td></td> <td style="text-align: center;">8,368</td> </tr> </table>		Market	Limit	Other including "Not Held"		Percentage	# of Orders	Percentage		0.00%	22	0.00%			69	0.00%				8,368
	Market	Limit	Other including "Not Held"																		
	Percentage	# of Orders	Percentage																		
	0.00%	22	0.00%																		
		69	0.00%																		
			8,368																		

Venue	Total Non-Directed Orders		Non-Directed Market Orders		Non-Directed Limit Orders		Non-Directed Other Orders	
	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders
ATM EXECUTION LLC : ATMC	0.00%	44	0.00%	0	0.00%	1	0.00%	43
BATS Y Exchange : BYXX	0.00%	1,103	0.00%	0	0.00%	1	0.00%	1,102
Bats Trading, Inc. : BATS	0.00%	362	0.00%	1	0.00%	0	0.00%	361
CLEARPOOL EXECUTION SERVICES, LLC	0.00%	176	0.00%	0	0.00%	0	0.00%	176
Credit Suisse Securities (USA) LLC : FBCO	0.00%	34	0.00%	0	0.00%	0	0.00%	34
Direct Edge EDGA Exchange : EDGA	0.00%	3	0.00%	0	0.00%	0	0.00%	3
Direct Edge EDGX Exchange : EDGX	0.00%	290	0.00%	0	0.00%	14	0.00%	276
IEX SERVICES LLC : IEXG	0.00%	2,088	0.00%	12	0.00%	36	0.00%	2,040
INTL FCSTONE FINANCIAL INC. : INTL	0.00%	40	0.00%	0	0.00%	0	0.00%	40
LIQUIDNET, INC. : LQNB	0.00%	15	0.00%	0	0.00%	0	0.00%	15
Maxim Group LLC : MAXM	0.00%	8	0.00%	0	0.00%	0	0.00%	8
Nasdaq Execution Services, LLC. : NSDQ	0.00%	603	0.00%	8	0.00%	8	0.00%	587
SPEEDROUTE LLC : SPDR	0.00%	3,640	0.00%	0	0.00%	8	0.00%	3,632
VIRTU Americas LLC : DTTX	0.00%	7	0.00%	0	0.00%	0	0.00%	7
VIRTU Americas LLC : NITE	0.00%	8	0.00%	0	0.00%	0	0.00%	8
BATS Y Exchange : BYXX	0.00%	1	0.00%	0	0.00%	0	0.00%	1
Bats Trading, Inc. : BATS	0.00%	9	0.00%	0	0.00%	0	0.00%	9
Credit Suisse Securities (USA) LLC : FBCO	0.00%	3	0.00%	0	0.00%	0	0.00%	3
Direct Edge EDGX Exchange : EDGX	0.00%	6	0.00%	0	0.00%	0	0.00%	6
IEX SERVICES LLC : IEXG	0.00%	8	0.00%	0	0.00%	0	0.00%	8

Nasdaq Execution Services, LLC. : NSDQ	0.00%	2	0.00%	1	0.00%	1	0.00%	0
SPEEDROUTE LLC : SPDR	0.00%	9	0.00%	0	0.00%	0	0.00%	9

**Exchange: Exchange Listed Options**

Non-Directed Customer Orders Having a Market Value Less Than \$50,000:								2,901
Non-Directed Customer Orders as a Percentage of Total Customer Orders Having a Market Value Less Than \$50,000:								100.00%
Non-Directed Customer Orders That Were:			Market		Limit		Other including "Not Held"	
	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders
	0.00%	0	0.00%	0	0.00%	0	100.00%	2,901

Venue	Total Non-Directed Orders		Non-Directed Market Orders		Non-Directed Limit Orders		Non-Directed Other Orders	
	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders
DASH FINANCIAL TECHNOLOGIES LLC : D	0.00%	4	0.00%	0	0.00%	0	0.00%	4
INSTINET, LLC : INCA	0.00%	2,568	0.00%	0	0.00%	0	0.00%	2,568
LiquidPoint	0.00%	100	0.00%	0	0.00%	0	0.00%	100
MERRILL LYNCH, PIERCE, FENNER & SMIT	0.00%	229	0.00%	0	0.00%	0	0.00%	229

**Exchange: NASDAQ Stock Exchange Securities (NAS)**

Non-Directed Customer Orders Having a Market Value Less Than \$200,000:								33,346
Non-Directed Customer Orders as a Percentage of Total Customer Orders Having a Market Value Less Than \$200,000:								100.00%
Non-Directed Customer Orders That Were:			Market		Limit		Other including "Not Held"	
	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders
	0.00%	188	0.00%	101	0.00%	101	0.00%	33,057

Venue	Total Non-Directed Orders		Non-Directed Market Orders		Non-Directed Limit Orders		Non-Directed Other Orders	
	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders
ATM EXECUTION LLC : ATMC	0.00%	156	0.00%	0	0.00%	1	0.00%	155
BATS Y Exchange : BYXX	0.00%	1,748	0.00%	0	0.00%	0	0.00%	1,748
Bats Trading, Inc. : BATS	0.00%	5,779	0.00%	0	0.00%	0	0.00%	5,779
CLEARPOOL EXECUTION SERVICES, LLC	0.00%	1,005	0.00%	0	0.00%	2	0.00%	1,003
Credit Suisse Securities (USA) LLC : FBCO	0.00%	386	0.00%	0	0.00%	0	0.00%	386
Direct Edge EDGA Exchange : EDGA	0.00%	12	0.00%	0	0.00%	0	0.00%	12
Direct Edge EDGX Exchange : EDGX	0.00%	1,253	0.00%	0	0.00%	15	0.00%	1,238
IEX SERVICES LLC : IEXG	0.00%	3,385	0.00%	6	0.00%	46	0.00%	3,333
INSTINET, LLC : INCA	0.00%	7	0.00%	0	0.00%	0	0.00%	7
INTL FCSTONE FINANCIAL INC. : INTL	0.00%	6	0.00%	0	0.00%	0	0.00%	6

LIQUIDNET, INC. : LQNB	0.00%	236	0.00%	133	0.00%	0	0.00%	103
Maxim Group LLC : MAXM	0.00%	53	0.00%	0	0.00%	0	0.00%	53
Nasdaq Execution Services, LLC. : NSDQ	0.00%	7,715	0.00%	45	0.00%	19	0.00%	7,651
SPEEDROUTE LLC : SPDR	0.00%	11,579	0.00%	4	0.00%	18	0.00%	11,557
VIRTU AMERICAS LLC : VALR	0.00%	1	0.00%	0	0.00%	0	0.00%	1
VIRTU Americas LLC : DTTX	0.00%	24	0.00%	0	0.00%	0	0.00%	24
INTL FCSTONE FINANCIAL INC. : INTL	0.00%	1	0.00%	0	0.00%	0	0.00%	1

**Exchange: New York Stock Exchange Securities (NYSE)**

Non-Directed Customer Orders Having a Market Value Less Than \$200,000: 39,667

Non-Directed Customer Orders as a Percentage of Total Customer Orders Having a Market Value Less Than \$200,000: 100.00%

Non-Directed Customer Orders That Were:

	Market		Limit		Other including "Not Held"	
	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders
	0.00%	458	0.00%	131	0.00%	39,078

Venue	Total Non-Directed Orders		Non-Directed Market Orders		Non-Directed Limit Orders		Non-Directed Other Orders	
	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders
ATM EXECUTION LLC : ATMC	0.00%	414	0.00%	0	0.00%	0	0.00%	414
BATS Y Exchange : BYXX	0.00%	2,588	0.00%	0	0.00%	1	0.00%	2,587
Bats Trading, Inc. : BATS	0.00%	1,233	0.00%	0	0.00%	0	0.00%	1,233
CLEARPOOL EXECUTION SERVICES, LLC	0.00%	501	0.00%	0	0.00%	1	0.00%	500
CONVERGEX EXECUTION SOLUTIONS : EC	0.00%	114	0.00%	0	0.00%	0	0.00%	114
Credit Suisse Securities (USA) LLC : FBCO	0.00%	1,212	0.00%	0	0.00%	0	0.00%	1,212
Direct Edge EDGA Exchange : EDGA	0.00%	44	0.00%	0	0.00%	0	0.00%	44
Direct Edge EDGX Exchange : EDGX	0.00%	3,311	0.00%	0	0.00%	20	0.00%	3,291
IEX SERVICES LLC : IEXG	0.00%	2,712	0.00%	6	0.00%	62	0.00%	2,644
INSTINET, LLC : INCA	0.00%	4	0.00%	0	0.00%	0	0.00%	4
INTL FCSTONE FINANCIAL INC. : INTL	0.00%	24	0.00%	0	0.00%	0	0.00%	24
LIQUIDNET, INC. : LQNB	0.00%	478	0.00%	370	0.00%	0	0.00%	108
Maxim Group LLC : MAXM	0.00%	84	0.00%	0	0.00%	0	0.00%	84
Nasdaq Execution Services, LLC. : NSDQ	0.00%	4,845	0.00%	38	0.00%	8	0.00%	4,799
Rosenblatt Securities Inc. : ROSN	0.00%	88	0.00%	0	0.00%	0	0.00%	88
SPEEDROUTE LLC : SPDR	0.00%	22,010	0.00%	44	0.00%	39	0.00%	21,927
VIRTU AMERICAS LLC : VALR	0.00%	2	0.00%	0	0.00%	0	0.00%	2

ATM EXECUTION LLC : ATMC	0.00%	1	0.00%	0	0.00%	0	0.00%	1
Direct Edge EDGX Exchange : EDGX	0.00%	1	0.00%	0	0.00%	0	0.00%	1
SPEEDROUTE LLC : SPDR	0.00%	1	0.00%	0	0.00%	0	0.00%	1

**End of SEC Rule 606 - Order Routing Disclosure Report**