# WINJAMMER FILING

AMENDMENT End Date:1/19/2024 Firm Name:PICTET OVERSEAS INC Form:Daily Seg - FOCUS II

# Daily Segregation - Cover Page

| ny Segregation - Cover Fage   |  |
|---|--|
| Name of Company   | PICTET OVERSEAS INC                                      |
| Contact Name  | <u>Marie-Chantal Jean</u>                                |
| Contact Phone Number  | <u>514-350-6270</u>                                      |
| Contact Email Address   | <u>mjean@pictet.com</u>                                  |
| FCM's Customer Segregated Funds Residual Interest Target (choose one):<br>a. Minimum dollar amount: ; or<br>b. Minimum percentage of customer segregated funds required:% ; or<br>c. Dollar amount range between:and; or<br>d. Percentage range of customer segregated funds required between:% and%.                         | <u>1,500,000</u><br><u>0</u><br><u>0 0</u><br><u>0 0</u> |
| FCM's Customer Secured Amount Funds Residual Interest Target (choose one):<br>a. Minimum dollar amount: ; or<br>b. Minimum percentage of customer secured funds required:% ; or<br>c. Dollar amount range between:and; or<br>d. Percentage range of customer secured funds required between:% and%.                           | <u>900,000</u><br><u>0</u><br><u>0 0</u><br><u>0 0</u>   |
| FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):<br>a. Minimum dollar amount: ; or<br>b. Minimum percentage of cleared swaps customer collateral required:% ; or<br>c. Dollar amount range between:and; or<br>d. Percentage range of cleared swaps customer collateral required between:% and%. | 0<br>0<br>0 0<br>0 0                                     |
| Current ANC: on<br>Broker Dealer Minimum<br>Debit/Deficit - Customers Current Amount Gross Amount   | <u>50,105,303</u>  |
| Domestic Debit/Deficit<br>Foreign Debit/Deficit<br>Debit/Deficit - Non Customers Current Amount Gross Amount  | <u>0</u><br><u>0</u> <u>0</u>                            |
| Domestic Debit/Deficit<br>Foreign Debit/Deficit<br>Proprietary Profit/Loss  | <u>0</u> 0<br><u>0</u> 0                                 |
| Domestic Profit/Loss<br>Foreign Profit/Loss<br>Proprietary Open Trade Equity  | <u>0</u><br><u>0</u>                                     |
| Domestic OTE<br>Foreign OTE<br>SPAN   | <u>0</u><br><u>0</u>                                     |
| Customer SPAN Calculation<br>Non-Customer SPAN Calcualation<br>Proprietary Capital Charges  | <u>105,770,901</u><br><u>0</u><br><u>0</u>               |
| Minimum Dollar Amount Requirement<br>Other NFA Dollar Amount Requirement  | <u>1,000,000</u> [7465]<br><u>0</u> [7475]               |

# **Daily Segregation - Secured Amounts**

|     | Foreign Futures and Foreign Options Secured Amounts   |  |
|-----|---|--|
|     | Amount required to be set aside pursuant to law, rule or regulation of a foreign            | <u>0</u> [7305]                            |
|     | government or a rule of a self-regulatory organization authorized thereunder                | <u>•</u> [/000]                            |
| 1.  | Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers             |  |
|     | A. Cash   | <u>3,772,177</u> [7315]                    |
|     | B. Securities (at market)   | <u>28,601,768</u> [7317]                   |
| 2.  | Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade   | <u>4,615,524</u> [7325]                    |
| 3.  | Exchange traded options   | <u>-,,,,,,,,,,,,</u> [1,020]               |
| •   | a. Market value of open option contracts purchased on a foreign board of trade              | <u>0</u> [7335]                            |
|     | b. Market value of open contracts granted (sold) on a foreign board of trade                | <u>o</u> [7337]                            |
| 4.  | Net equity (deficit) (add lines 1. 2. and 3.)   | <u>36,989,469</u> [7345]                   |
| 5.  | Account liquidating to a deficit and account with a debit balances - gross amount           | <u>0</u> [7351]                            |
| •   | Less: amount offset by customer owned securities  | <u>o</u> [7352] <u>o</u> [7354]            |
| 6.  | Amount required to be set aside as the secured amount - Net Liquidating Equity              | <u>36,989,469</u> [7355]                   |
| 0.  | Method (add lines 4 and 5)  | <u>00,003,400</u> [/ 000]                  |
| 7.  | Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line | <u>36,989,469</u> [7360]                   |
|     | 6.  | <u>00,003,400</u> [/000]                   |
|     | U.<br>FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS                                  |  |
| 1.  | Cash in banks   |  |
|     | A. Banks located in the United States   | <u>510,410</u> [7500]                      |
|     | B. Other banks qualified under Regulation 30.7  | <u>0</u> [7520] <u>510,410</u> [7530]      |
| 2.  | Securities  | <u>o</u> [/020] <u>010,410</u> [/000]      |
|     | A. In safekeeping with banks located in the United States                                   | <u>3,197,399</u> [7540]                    |
|     | B. In safekeeping with other banks qualified under Regulation 30.7                          | <u>0</u> [7560] <u>3,197,399</u> [7570]    |
| 3.  | Equities with registered futures commission merchants                                       | <u>•</u> [1000] <u>•,101,000</u> [1010]    |
| ••• | A. Cash   | <u>7,512,590</u> [7580]                    |
|     | B. Securities   | <u>6,862,265</u> [7590]                    |
|     | C. Unrealized gain (loss) on open futures contracts   | <u>-818,936</u> [7600]                     |
|     | D. Value of long option contracts   | <u>0</u> [7610]                            |
|     | E. Value of short option contracts  | <u>0</u> [7615] <u>13,555,919</u> [7620]   |
| 4.  | Amounts held by clearing organizations of foreign boards of trade                           | <u>•</u> [; • ; •] <u>••••••••</u> [; •±•] |
|     | A. Cash   | <u>0</u> [7640]                            |
|     | B. Securities   | <u>o</u> [7650]                            |
|     | C. Amount due to (from) clearing organization - daily variation                             | <u>0</u> [7660]                            |
|     | D. Value of long option contracts   | <u>o</u> [7670]                            |
|     | E. Value of short option contracts  | <b>0</b> [7675] <b>0</b> [7680]            |
| 5.  | Amounts held by members of foreign boards of trade  | <u>•</u> [, •, •] <u>•</u> [, ••••]        |
| -   | A. Cash   | <u>-3,791,759</u> [7700]                   |
|     | B. Securities   | <u>21,739,503</u> [7710]                   |
|     | C. Unrealized gain (loss) on open futures contracts   | <u>5,469,676</u> [7720]                    |
|     | D. Value of long option contracts   | <u><b>0</b></u> [7730]                     |
|     | E. Value of short option contracts  | <u>0</u> [7735] <b>23,417,420</b> [7740]   |
| 6.  | Amounts with other depositories designated by a foreign board of trade                      | <u>o</u> [7760]                            |
| 7.  | Segregated funds on hand  | <u>o</u> [7765]                            |
| 8.  | Total funds in separate section 30.7 accounts   | <u>40,681,148</u> [7770]                   |
| 9.  | Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement         | <u>3,691,679</u> [7380]                    |
|     | Page 1 from Line 8)   | <u>-,</u> [,]                              |
| 10. | Management Target Amount for Excess funds in separate section 30.7 accounts                 | <u>900,000</u> [7780]                      |
| 11. | Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target          | <u>2,791,679</u> [7785]                    |
|     |   |  |

Daily Segregation - Segregation Statement

| <ol> <li>Net ledger balance         <ul> <li>A. Cash</li> <li>Cash</li> <li>Securities (at market)</li> </ul> </li> <li>Securities (at market)</li> <li>International (loss) in open futures contracts traded on a contract market</li> <li>Exchange traded options</li> <li>Exchange traded option contracts purchased on a contract market</li> <li>Internative value of open option contracts granted (sold) on a contract market</li> <li>Internative value of open option contracts granted (sold) on a contract market</li> <li>Internative value of open option contracts granted (sold) on a contract market</li> <li>Internative value of open option contracts granted (sold) on a contract market</li> <li>Internative value of open option contracts granted (sold) on a contract market</li> <li>Internative value of open option contracts granted (sold) on a contract market</li> <li>Internative value of open option contracts granted (sold) on a contract market</li> <li>Internative value of open option contracts granted (sold) on a contract market</li> <li>Internative value of open option contracts granted (sold) on a contract market</li> <li>Internative value of open option contracts granted (sold) on a contract market</li> <li>Internative value of open option contracts granted (sold) on a contract market</li> <li>Internative value of open option contracts granted (sold) on a contract market</li> <li>Internative value of open option contracts granted (sold) on a contract market</li> <li>Internative value of open option contracts granted (sold) on a contract market</li> <li>Internative value of open option contracts granted (sold) on a contract market</li> <li>Internative value of open option contracts granted value of open option contract market</li> <li< th=""></li<></ol>   |
|---|
| B. Securities (at market)       107.784.577 [7020]         2.       Net unrealized profit (loss) in open futures contracts traded on a contract market       -2.150.706 [7030]         3.       Exchange traded options       -2.150.706 [7030]         4.       Add market value of open option contracts purchased on a contract market       1.145.045 [7032]         B. Deduct market value of open option contracts granted (sold) on a contract market       9.372.180 [7033]         4.       Net equity (deficit) (add lines 1, 2 and 3)       108.685.080 [7040]         5.       Accounts liquidating to a deficit and accounts with       3.898.591 [7045]         debit balances - gross amount       3.898.591 [7047] 0 [7050]         6.       Amount required to be segregated (add lines 4 and 5)       108.685.080 [7060]         FUNDS IN SEGREGATED ACCOUNTS       108.685.080 [7070]       6.5452.865 [7080]         7.       Deposited in segregated funds bank accounts       236.847 [7070]       5.452.865 [7080]         8.       Securities representing investments of customers' funds (at market)       5.452.865 [7080]       0 [7090]         market)       8.       Margins on deposit with derivatives clearing organizations of contract markets       4. Cash       0 [7100]       6. Securities representing investments of customers' funds (at market)       0 [7100]       6. Securities representing investments of customers' funds (at market)<   |
| <ul> <li>Net unrealized profit (loss) in open futures contracts traded on a contract market</li> <li>2,150,706 [7030]</li> <li>Exchange traded options         <ul> <li>A. Add market value of open option contracts purchased on a contract market</li> <li>J. Deduct market value of open option contracts granted (sold) on a contract market</li> <li>9,372,180 [7033]</li> </ul> </li> <li>Net equity (deficit) (add lines 1, 2 and 3)</li> <li>Accounts liquidating to a deficit and accounts with         debit balances - gross amount             Less: amount offset by customer securities             -3,398,591 [7045]             Less: amount offset by customer securities             -3,898,591 [7047] 0 [7050]</li> </ul> <li>Amount required to be segregated (add lines 4 and 5)         <ul> <li>FUNDS IN SEGREGATED ACCOUNTS</li> </ul> </li> <li>Deposited in segregated funds bank accounts         <ul> <li>A. Cash</li> <li>Securities representing investments of customers' funds (at market)</li> <li>S. Securities neld for particular customers or option customers in lieu of cash (at 0 [7090]             market)</li> </ul> </li> <li>Margins on deposit with derivatives clearing organizations of contract markets         <ul> <li>A. Cash</li> <li>G [7100]</li> <li>Securities representing investments of customers' funds (at market)</li> <li>C. Securities neld for particular customers or option customers in lieu of cash (at market)</li> <li>G [7100]</li> <li>Securities representing investments of customers' funds (at market)</li> <li>G [7100]</li> <li>G [7100]</li> <li>Securities held for particular customers or option customers in lieu of cash (at market)</li> <li>G [7100]</li> <li>G [7100]</li> <li>Securities held for particul</li></ul></li>   |
| <ul> <li>3. Exchange traded options <ul> <li>A. Add market value of open option contracts purchased on a contract market</li> <li>J.145.045 [7032]</li> <li>B. Deduct market value of open option contracts granted (sold) on a contract market</li> <li>9.372.180 [7033]</li> </ul> </li> <li>4. Net equity (deficit) (add lines 1, 2 and 3) <ul> <li>108.685.080 [7040]</li> </ul> </li> <li>5. Accounts liquidating to a deficit and accounts with debit balances - gross amount</li> <li>Less: amount offset by customer securities <ul> <li>3.898.591 [7047] 0 [7050]</li> </ul> </li> <li>6. Amount required to be segregated (add lines 4 and 5)</li> <li>FUNDS IN SEGREGATED ACCOUNTS</li> </ul> <li>7. Deposited in segregated funds bank accounts <ul> <li>A. Cash</li> <li>B. Securities representing investments of customers' funds (at market)</li> <li>C. Securities held for particular customers or option customers in lieu of cash (at 0 [7090]</li> <li>market)</li> </ul> </li> <li>8. Margins on deposit with derivatives clearing organizations of contract markets <ul> <li>A. Cash</li> <li>B. Securities representing investments of customers' funds (at market)</li> <li>C. Securities held for particular customers or option customers in lieu of cash (at 0 [7100]</li> <li>B. Securities representing investments of customers' funds (at market)</li> <li>G. Securities held for particular customers or option customers in lieu of cash (at 0 [7110]</li> <li>C. Securities held for particular customers or option customers in lieu of cash (at 0 [7110]</li> <li>C. Securities held for particular customers or option customers in lieu of cash (at 0 [7110]</li> <li>C. Securities held for particular customers or option customers in lieu of cash (at 0 [7110]</li> <li>C. Securities held for particular customers or option customers in lieu of cash (at 0 [7120]</li> <li>market)</li> </ul> </li> <li>9. Net settlement from (to) derivatives clearing organizations of contract markets</li> <li>D [7130]</li> |
| <ul> <li>A. Add market value of open option contracts purchased on a contract market         <ul> <li>B. Deduct market value of open option contracts granted (sold) on a contract market</li> <li>9.372,180 [7032]</li> </ul> </li> <li>Net equity (deficit) (add lines 1, 2 and 3)</li> <li>Maccounts liquidating to a deficit and accounts with         <ul> <li>debit balances - gross amount</li> <li>debit balances - gross amount</li> <li>debit balances - gross amount offset by customer securities</li> <li>3.898,591 [7047] 0 [7050]</li> </ul> </li> <li>Amount required to be segregated (add lines 4 and 5)</li> <li>FUNDS IN SEGREGATED ACCOUNTS</li> <li>Deposited in segregated funds bank accounts</li> <li>A. Cash</li> <li>Securities representing investments of customers' funds (at market)</li> <li>Securities nepresenting investments of customers in lieu of cash (at 0 [7090]</li> </ul> <li>Margins on deposit with derivatives clearing organizations of contract markets</li> <li>A. Cash</li> <li>B. Securities representing investments of customers' funds (at market)</li> <li>C. Securities neptresenting investments of customers' funds (at market)</li> <li>G. Cash</li> <li>Margins on deposit with derivatives clearing organizations of contract markets</li> <li>A. Cash</li> <li>B. Securities representing investments of customers' funds (at market)</li> <li>G. [7100]</li> <li>C. Securities held for particular customers or option customers in lieu of cash (at 0 [7120]</li> <li>market)</li> <li>Materia and the particular customers or option customers in lieu of cash (at 0 [7120]</li> <li>market)</li> <li>Met settlement from (to) derivatives clearing organizations of contract markets</li> <li>O [7130]</li>  |
| B. Deduct market value of open option contracts granted (sold) on a contract market       9.372.180 [7033]         4. Net equity (deficit) (add lines 1, 2 and 3)       108.685.080 [7040]         5. Accounts liquidating to a deficit and accounts with<br>debit balances - gross amount       3.898.591 [7045]         Less: amount offset by customer securities       -3.898.591 [7047] 0 [7050]         6. Amount required to be segregated (add lines 4 and 5)<br>FUNDS IN SEGREGATED ACCOUNTS       108.685.080 [7060]         7. Deposited in segregated funds bank accounts<br>A. Cash       236.847 [7070]         8. Securities representing investments of customers' funds (at market)       5.452.865 [7080]         C. Securities held for particular customers or option customers in lieu of cash (at<br>market)       0 [7100]         8. Margins on deposit with derivatives clearing organizations of contract markets       0 [7110]         A. Cash       0 [7100]         B. Securities representing investments of customers' funds (at market)       0 [7110]         C. Securities held for particular customers or option customers in lieu of cash (at<br>market)       0 [7110]         B. Securities representing investments of customers' funds (at market)       0 [7110]         C. Securities held for particular customers or option customers in lieu of cash (at<br>market)       0 [7120]         9. Net settlement from (to) derivatives clearing organizations of contract markets       0 [7130]   |
| <ul> <li>4. Net equity (deficit) (add lines 1, 2 and 3)</li> <li>5. Accounts liquidating to a deficit and accounts with<br/>debit balances - gross amount</li> <li>5. Accounts liquidating to a deficit and accounts with<br/>debit balances - gross amount</li> <li>5. Account offset by customer securities</li> <li>5. Amount required to be segregated (add lines 4 and 5)</li> <li>FUNDS IN SEGREGATED ACCOUNTS</li> <li>7. Deposited in segregated funds bank accounts</li> <li>A. Cash</li> <li>B. Securities representing investments of customers' funds (at market)</li> <li>C. Securities held for particular customers or option customers in lieu of cash (at<br/>market)</li> <li>8. Margins on deposit with derivatives clearing organizations of contract markets</li> <li>A. Cash</li> <li>G. Cash</li> <li>G. [7100]</li> <li>B. Securities representing investments of customers' funds (at market)</li> <li>G. [7100]</li> <li>G. Securities negresenting investments of customers in lieu of cash (at<br/>market)</li> <li>9. Net settlement from (to) derivatives clearing organizations of contract markets</li> <li>Q [7130]</li> </ul>   |
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| debit balances - gross amount3,898,591 [7045]Less: amount offset by customer securities-3,898,591 [7047] 0 [7050]6.Amount required to be segregated (add lines 4 and 5)108,685,080 [7060]FUNDS IN SEGREGATED ACCOUNTS108,685,080 [7060]7.Deposited in segregated funds bank accounts236,847 [7070]A. Cash236,847 [7070]B. Securities representing investments of customers' funds (at market)5,452,865 [7080]C. Securities held for particular customers or option customers in lieu of cash (at<br>market)0 [7090]8.Margins on deposit with derivatives clearing organizations of contract markets0 [7100]B. Securities representing investments of customers' funds (at market)0 [7100]C. Securities held for particular customers or option customers in lieu of cash (at<br>market)0 [7100]8.Margins on deposit with derivatives clearing organizations of contract markets0 [7100]A. Cash<br>B. Securities representing investments of customers' funds (at market)0 [7110]C. Securities held for particular customers or option customers in lieu of cash (at<br>market)0 [7120]9.Net settlement from (to) derivatives clearing organizations of contract markets0 [7130]   |
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| <ul> <li>6. Amount required to be segregated (add lines 4 and 5)<br/>FUNDS IN SEGREGATED ACCOUNTS</li> <li>7. Deposited in segregated funds bank accounts <ul> <li>A. Cash</li> <li>B. Securities representing investments of customers' funds (at market)</li> <li>C. Securities held for particular customers or option customers in lieu of cash (at 0 [7090]</li> <li>market)</li> </ul> </li> <li>8. Margins on deposit with derivatives clearing organizations of contract markets <ul> <li>A. Cash</li> <li>B. Securities representing investments of customers' funds (at market)</li> <li>C. Securities held for particular customers or option customers in lieu of cash (at 0 [7090]</li> <li>market)</li> </ul> </li> <li>8. Margins on deposit with derivatives clearing organizations of contract markets <ul> <li>A. Cash</li> <li>B. Securities representing investments of customers' funds (at market)</li> <li>C. Securities held for particular customers or option customers in lieu of cash (at 0 [7100]</li> <li>B. Securities representing investments of customers' funds (at market)</li> <li>C. Securities held for particular customers or option customers in lieu of cash (at 0 [7110]</li> <li>C. Securities held for particular customers or option customers in lieu of cash (at 0 [7120]</li> <li>market)</li> </ul> </li> <li>9. Net settlement from (to) derivatives clearing organizations of contract markets</li> <li>D [7130]</li> </ul>  |
| <ul> <li>FUNDS IN SEGREGATED ACCOUNTS</li> <li>7. Deposited in segregated funds bank accounts <ul> <li>A. Cash</li> <li>B. Securities representing investments of customers' funds (at market)</li> <li>C. Securities held for particular customers or option customers in lieu of cash (at market)</li> </ul> </li> <li>8. Margins on deposit with derivatives clearing organizations of contract markets <ul> <li>A. Cash</li> <li>B. Securities representing investments of customers' funds (at market)</li> <li>C. Securities nepresenting investments of customers in lieu of cash (at market)</li> </ul> </li> <li>8. Margins on deposit with derivatives clearing organizations of contract markets <ul> <li>A. Cash</li> <li>B. Securities representing investments of customers' funds (at market)</li> <li>C. Securities nepresenting investments of customers in lieu of cash (at market)</li> <li>C. Securities held for particular customers or option customers in lieu of cash (at market)</li> <li>G. Securities nepresenting investments of customers in lieu of cash (at market)</li> <li>G. Securities held for particular customers or option customers in lieu of cash (at market)</li> <li>G. Securities held for particular customers or option customers in lieu of cash (at market)</li> <li>G. T110]</li> <li>G. Securities held for particular customers or option customers in lieu of cash (at market)</li> </ul> </li> <li>9. Net settlement from (to) derivatives clearing organizations of contract markets</li> <li>G [7130]</li> </ul>   |
| <ul> <li>7. Deposited in segregated funds bank accounts         <ul> <li>A. Cash</li> <li>B. Securities representing investments of customers' funds (at market)</li> <li>Securities held for particular customers or option customers in lieu of cash (at market)</li> <li>C. Securities held for particular customers or option customers in lieu of cash (at market)</li> </ul> </li> <li>8. Margins on deposit with derivatives clearing organizations of contract markets         <ul> <li>A. Cash</li> <li>B. Securities representing investments of customers' funds (at market)</li> <li>C. Cash</li> <li>D. Securities representing investments of customers' funds (at market)</li> <li>D. Securities representing investments of customers in lieu of cash (at market)</li> <li>D. T100]</li> <li>C. Securities held for particular customers or option customers in lieu of cash (at market)</li> <li>D. T100]</li> <li>D. Securities held for particular customers or option customers in lieu of cash (at market)</li> <li>D. T100]</li> <li>D. Securities held for particular customers or option customers in lieu of cash (at market)</li> <li>D. T100]</li> <li>D. Securities held for particular customers or option customers in lieu of cash (at market)</li> <li>D. T100]</li> </ul> </li> <li>9. Net settlement from (to) derivatives clearing organizations of contract markets</li> </ul>  |
| A. Cash       236,847 [7070]         B. Securities representing investments of customers' funds (at market)       5,452,865 [7080]         C. Securities held for particular customers or option customers in lieu of cash (at market)       0 [7090]         8.       Margins on deposit with derivatives clearing organizations of contract markets       0 [7100]         B. Securities representing investments of customers' funds (at market)       0 [7100]         B. Securities representing investments of customers' funds (at market)       0 [7110]         C. Securities held for particular customers or option customers in lieu of cash (at market)       0 [7120]         B. Securities held for particular customers or option customers in lieu of cash (at market)       0 [7120]         Market)       9.       Net settlement from (to) derivatives clearing organizations of contract markets       0 [7130]  |
| <ul> <li>B. Securities representing investments of customers' funds (at market)</li> <li>Securities held for particular customers or option customers in lieu of cash (at <u>0</u> [7090]<br/>market)</li> <li>Margins on deposit with derivatives clearing organizations of contract markets         <ul> <li>A. Cash</li> <li>Securities representing investments of customers' funds (at market)</li> <li>Securities representing investments of customers' funds (at market)</li> <li>G. Securities representing investments of customers' funds (at market)</li> <li>G. Securities held for particular customers or option customers in lieu of cash (at <u>0</u> [7100]</li> <li>C. Securities held for particular customers or option customers in lieu of cash (at <u>0</u> [7120]</li> <li>market)</li> </ul> </li> <li>Net settlement from (to) derivatives clearing organizations of contract markets</li> </ul>   |
| <ul> <li>C. Securities held for particular customers or option customers in lieu of cash (at market)</li> <li>8. Margins on deposit with derivatives clearing organizations of contract markets         <ul> <li>A. Cash</li> <li>B. Securities representing investments of customers' funds (at market)</li> <li>C. Securities held for particular customers or option customers in lieu of cash (at 0 [7100]</li> <li>C. Securities held for particular customers or option customers in lieu of cash (at 0 [7110]</li> <li>C. Securities held for particular customers or option customers in lieu of cash (at 0 [7120]</li> </ul> </li> <li>9. Net settlement from (to) derivatives clearing organizations of contract markets</li> </ul>   |
| <ul> <li>market)</li> <li>8. Margins on deposit with derivatives clearing organizations of contract markets         <ul> <li>A. Cash</li> <li>B. Securities representing investments of customers' funds (at market)</li> <li>C. Securities held for particular customers or option customers in lieu of cash (at market)</li> <li>G. T100</li> <li>G. Securities held for particular customers or option customers in lieu of cash (at market)</li> <li>G. T120</li> <li>Market)</li> </ul> </li> <li>9. Net settlement from (to) derivatives clearing organizations of contract markets</li> </ul>  |
| <ul> <li>8. Margins on deposit with derivatives clearing organizations of contract markets         <ul> <li>A. Cash</li> <li>B. Securities representing investments of customers' funds (at market)</li> <li>C. Securities held for particular customers or option customers in lieu of cash (at <u>0</u> [7120] market)</li> </ul> </li> <li>9. Net settlement from (to) derivatives clearing organizations of contract markets</li> </ul>   |
| A. Cash <b>Q</b> [7100]         B. Securities representing investments of customers' funds (at market) <b>Q</b> [7110]         C. Securities held for particular customers or option customers in lieu of cash (at market) <b>Q</b> [7120]         market) <b>Q</b> [7130]         9.       Net settlement from (to) derivatives clearing organizations of contract markets <b>Q</b> [7130]   |
| B. Securities representing investments of customers' funds (at market)       0       [7110]         C. Securities held for particular customers or option customers in lieu of cash (at market)       0       [7120]         market)       9.       Net settlement from (to) derivatives clearing organizations of contract markets       0       [7130]  |
| C. Securities held for particular customers or option customers in lieu of cash (at market)       0 [7120]         9.       Net settlement from (to) derivatives clearing organizations of contract markets       0 [7130]  |
| market)9.Net settlement from (to) derivatives clearing organizations of contract markets <b>0</b> [7130]  |
| 9. Net settlement from (to) derivatives clearing organizations of contract markets <b><u>0</u></b> [7130]   |
|   |
|   |
| 10. Exchange traded options   |
| A. Value of open long option contracts <b><u>0</u></b> [7132]   |
| B. Value of open short option contracts <b><u>0</u></b> [7133]  |
| 11. Net equities with other FCMs  |
| A. Net liquidating equity <u>1,102,656</u> [7140]   |
| B. Securities representing investments of customers' funds (at market) 0 [7160]   |
| C. Securities held for particular customers or option customers in lieu of cash (at 107,784,577 [7170]  |
| market)   |
| 12. Segregated funds on hand <u><b>0</b></u> [7150]   |
| 13. Total amount in segregation (add lines 7 through 12) <u>114,576,945</u> [7180]  |
| 14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) 5,891,865 [7190]  |
| 15. Management Target Amount for Excess funds in segregation 1,500,000 [7194]   |
| 16. Excess (deficiency) funds in segregation over (under) Management Target Amount 4,391,865 [7198]   |
| Excess  |

# Daily Segregation - Supplemental

| Dai | y Segregation - Supplemental   |                                  |
|-----|--|----------------------------------|
| -   | Total gross margin deficiencies - Segregated Funds Origin                              | <u>0</u> [9100]                  |
| -   | Total gross margin deficiencies - Secured Funds Origin                                 | <b>0</b> [9101]                  |
| -   | Total gross margin deficiencies - Cleared Swaps Customer Collateral Funds Origin       | <b>0</b> [9102]                  |
| -   | Total gross margin deficiencies - Noncustomer and Proprietary Accounts Origin          | <b>0</b> [9103]                  |
| -   | Total number of accounts contributing to total gross margin deficiencies - Segregated  | <b>0</b> [9104]                  |
|     | Funds Origin   |                                  |
| -   | Total number of accounts contributing to total gross margin deficiencies - Secured     | <u>0</u> [9105]                  |
|     | Funds Origin   |                                  |
| -   | Total number of accounts contributing to the total gross margin deficiencies - Cleared | <u>0</u> [9106]                  |
|     | Swaps Customer Collateral Funds Origin   |                                  |
| -   | Total number of accounts contributing to the total gross margin deficiencies -         | <u>0</u> [9107]                  |
|     | Noncustomer and Proprietary Accounts Origin  |                                  |
| -   | Upload a copy of the firm's daily margin report the FCM uses to issue margin calls     |                                  |
|     | which corresponds with the reporting date.   |                                  |
|     |  | Margin calls - Client FCM        |
|     |  | 20240119.PDF                     |
|     |  |                                  |
|     | Virtual Currency   |                                  |
|     | Identify the virtual currency products traded by customers and noncustomers:           |                                  |
|     | Bitcoin futures  | <u>No</u> [VC05]                 |
|     | Bitcoin options  | <u>No</u> [VC06]                 |
|     | Ether futures  | <u>No</u> [VC07]                 |
|     | Micro bitcoin futures  | <u>No</u> [VC08]                 |
|     | Other:   | <u>No</u> [VC09] <u>0</u> [VC10] |
|     | Identify the number of customers with <u>open</u> virtual currency futures/options?    | <u>0</u> [VC11]                  |
|     | Identify the number of noncustomers with open virtual currency futures/options?        | <b>0</b> [VC12]                  |
|     | Total open long customer/noncustomer virtual currency derivatives positions:           | <u>o</u> [VC03]                  |
|     | Total <u>open</u> short customer/noncustomer virtual currency derivatives positions:   | <u>o</u> [VC04]                  |
|     |  |                                  |
|     | Identify the virtual currency products traded for proprietary purposes:                |                                  |
|     | Bitcoin futures  | <u>No</u> [VC13]                 |
|     | Bitcoin options  | <u>No</u> [VC14]                 |
|     | Ether futures  | No [VC15]                        |
|     | Micro bitcoin futures  | <u>No</u> [VC16]                 |
|     | Other:   | No [VC17] 0 [VC18]               |
|     | Total open long proprietary virtual currency derivatives positions:                    | <u>0</u> [VC19]                  |
|     | Total open short <u>proprietary</u> virtual currency derivatives positions:            | <u>0</u> [VC20]                  |
|     | <u>Micro</u>   | <u>-</u> []                      |
|     |  |                                  |
|     | Identify the micro contracts traded by customers and noncustomers:                     |                                  |
|     | Equity   | <u>Yes</u> [MC01]                |
|     | Interest Rate  | <u>No</u> [MC02]                 |
|     | Metals   | <u>No</u> [MC03]                 |
|     | Energy   | <u>No</u> [MC04]                 |
|     | FX Futures   | Yes [MC05]                       |
|     | Other  | <u>No</u> [MC06] <u>0</u> [MC07] |
|     | Identify the number of customers with open micro contracts?                            | <u>1</u> [MC08]                  |
|     | Identify the number of noncustomers with <u>open</u> micro contracts?                  | <b>0</b> [MC09]                  |
|     | Total <u>open</u> customer/noncustomer long micro positions:                           | <u>7</u> [MC10]                  |
|     | Total <u>open</u> customer/noncustomer short micro positions:                          | <u>223</u> [MC11]                |
|     |  |                                  |
|     |  |                                  |

Identify the micro contracts traded for proprietary purposes:

### AMENDMENT End Date:1/19/2024 Firm Name:PICTET OVERSEAS INC Form:Daily Seg - FOCUS II

Equity Interest Rate Metals Energy FX Futures Other Total open long <u>proprietary</u> micro positions: Total open short <u>proprietary</u> micro positions: 
 No
 [MC12]

 No
 [MC13]

 No
 [MC14]

 No
 [MC15]

 No
 [MC16]

 No
 [MC17] 0 [MC18]

 0 [MC19]
 0 [MC20]

# Daily Segregation - Swaps Statement

|          | STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS<br>AND                               |                                    |
|----------|---|------------------------------------|
|          | FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA                                   |                                    |
|          | Cleared Swaps Customer Requirements   |                                    |
| 1.       | Net ledger balance  |                                    |
|          | A. Cash   | <u>0</u> [8500]                    |
| 0        | B. Securities (at market)   | <u>0</u> [8510]                    |
| 2.       | Net unrealized profit (loss) in open cleared swaps  | <u>0</u> [8520]                    |
| 3.       | Cleared swaps options   | e (0500)                           |
|          | A. Market value of open cleared swaps option contracts purchased                                  | <u>0</u> [8530]                    |
| 4        | B. Market value of open cleared swaps option contracts granted (sold)                             | <u>0</u> [8540]                    |
| 4.<br>5. | Net Equity (deficit) (add lines 1, 2, and 3)  | <u>0</u> [8550]                    |
| э.       | Accounts liquidating to a deficit and accounts with   | <b>a</b> [0500]                    |
|          | debit balances - gross amount   | <u>0</u> [8560]                    |
| 6        | Less: amount offset by customer owned securities  | <u>0</u> [8570] <u>0</u> [8580]    |
| 6.       | Amount required to be segregated for cleared swaps customers (add lines 4 and 5)                  | <u>0</u> [8590]                    |
| 7.       | Funds in Cleared Swaps Customer Segregated Accounts   |                                    |
| 7.       | Deposited in cleared swaps customer segregated accounts at banks<br>A. Cash                       | <u>0</u> [8600]                    |
|          | B. Securities representing investments of cleared swaps customers' funds (at market)              | <u>o</u> [8610]<br><u>o</u> [8610] |
|          | C. Securities held for particular cleared swaps customers in lieu of cash (at market)             | <u>0</u> [8620]                    |
| 8.       | Margins on deposit with derivatives clearing organizations in cleared swaps customer              | <u>u</u> [0020]                    |
| 0.       | segregated accounts   |                                    |
|          | A. Cash   | <u>0</u> [8630]                    |
|          | B. Securities representing investments of cleared swaps customers' funds (at market)              | <u>o</u> [8640]                    |
|          | C. Securities held for particular cleared swaps customers in lieu of cash (at market)             | <u>o</u> [8650]                    |
| 9.       | Net settlement from (to) derivatives clearing organizations                                       | <u>0</u> [8660]                    |
| 10.      | Cleared swaps options   | <u>- []</u>                        |
|          | A. Value of open cleared swaps long option contracts  | <u>0</u> [8670]                    |
|          | B. Value of open cleared swaps short option contracts   | <u>0</u> [8680]                    |
| 11.      | Net equities with other FCMs  |                                    |
|          | A. Net liquidating equity   | <u>0</u> [8690]                    |
|          | B. Securities representing investments of cleared swaps customers' funds (at market)              | <u>0</u> [8700]                    |
|          | C. Securities held for particular cleared swaps customers in lieu of cash (at market)             | <u>0</u> [8710]                    |
| 12.      | Cleared swaps customer funds on hand  |                                    |
|          | A. Cash   | <u>0</u>                           |
|          | B. Securities representing investments of cleared swaps customers' funds (at market)              | <u>0</u>                           |
|          | C. Securities held for particular cleared swaps customers in lieu of cash (at market)             | <u>0</u> 0 [8715]                  |
| 13.      | Total amount in cleared swaps customer segregation (add lines 7 through 12)                       | <u>0</u> [8720]                    |
| 14.      | Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from<br>line 13) | <u>0</u> [8730]                    |
| 15.      | Management Target Amount for Excess funds in cleared swaps segregated accounts                    | <u>0</u> [8760]                    |
| 16.      | Excess (deficiency) funds in cleared swaps customer segregated accounts over (under)              | <u>0</u> [8770]                    |
|          | Management  |                                    |