# SUPPLEMENT TO FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT PART II

BROKER OR DEALER: PICTET OVERSEAS INC. as of: 08/31/2023

## STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES

#### SEGREGATION REQUIREMENTS

A. Cash   S. Escurities (at market)   124,918,620   7020   22.   Net unrealized profit (loss) in open futures contracts traded on a contract market   6,6994,510   7030	1.	Net ledger balance	0.44.450.040	
Net unrealized profit (loss) in open futures contracts traded on a contract market   10,751,965   7032		A. Cash  B. Socurities (at market)	\$ 14,150,313 124,918,620	7010
A Add: Market value of open option contracts purchased on a contract market   10,751,963   7023   7023   7024   7024   7025				
A. Add: Market value of open option contracts purchased on a contract market         10,751,963         7032           B. Deduct Market value of open option contracts granted (sold) on a contract market         129,794,371         7040           4. Net equity (deficit) (total of Lines 1, 2 and 3)         129,794,371         7040           5. Accounts liquidating to a deficit and accounts with debit balances - gross amount offset by customer owned securities         0         7045         7045         7050 </td <td></td> <td></td> <td>(0,994,510)</td> <td>7030</td>			(0,994,510)	7030
B. Deduct Market value of open option contracts granted (sold) on a contract market         (13,032,015)         7033           4. Net equity (deficit) (total of Lines 1, 2 and 3)         129,794,371         7040           5. Accounts liquidating to a deficit and accounts with debit balances - gross amount         0         7045         7047         0         7050           6. Amount required to be segregated (add Lines 4 and 5)         \$ 129,794,371         7060           FUNDS IN SEGREGATED ACCOUNTS           7. Deposited in segregated funds bank accounts         4,827,033         7070           A. Cash         4,827,033         7070           B. Securities representing investments of customers' funds (at market)         0         7080           C. Securities representing investments of customers in lieu of cash (at market)         0         7090           8. Margin on deposit with derivatives clearing organizations of contract markets         0         7100           B. Securities representing investments of customers' funds (at market)         0         7100           C. Securities held for particular customers or option customers in lieu of cash (at market)         0         7110           C. Securities representing investments of customers' funds (at market)         0         7130           10. Exhange traded options         0         7132           A. Valu	3.		10 751 063	7000
129,794,371   7040				—
Less: amount offset by customer owned securities  Less: amount offset by customer owned securities  A count required to be segregated (add Lines 4 and 5)  FUNDS IN SEGREGATED ACCOUNTS  7. Deposited in segregated funds bank accounts  A. Cash  B. Securities representing investments of customers' funds (at market)  C. Securities held for particular customers or option customers in lieu of cash (at market)  C. Securities held for particular customers' funds (at market)  C. Securities held for particular customers or option customers in lieu of cash (at market)  B. Securities representing investments of customers' funds (at market)  C. Securities held for particular customers or option customers in lieu of cash (at market)  B. Securities representing investments of customers' funds (at market)  C. Securities held for particular customers or option customers in lieu of cash (at market)  C. Securities representing investments of customers in lieu of cash (at market)  C. Securities representing investments of customers in lieu of cash (at market)  D. Priton  T. Deposited in segregated funds bank accounts  A. Value of open long option customers or option customers in lieu of cash (at market)  D. Exchange traded options  A. Value of open long option contracts  B. Value of open long option contracts  D. Viso  T. Net equilies with other FCMs  A. Net liquidating equity  B. Securities representing investments of customers' funds (at market)  C. Securities held for particular customers or option customers in lieu of cash (at market)  T. Segregated funds on hand (describe: )  T. Segregated funds on hand (describe: )  T. Segregated funds in segregation (subtract Line 6 from Line 13)  T. Management target amount for excess funds in segregation  T. Sounds T. Segregation  T.	4.			=
Less: amount offset by customer owned securities         0         7047         0         7050           6. Amount required to be segregated (add Lines 4 and 5)         \$ 129,794,371         7060           FUNDS IN SEGREGATED ACCOUNTS           7. Deposited in segregated funds bank accounts         4,827,033         7070           A. Cash         4,827,033         7070           B. Securities representing investments of customers' funds (at market)         0         7080           C. Securities held for particular customers or option customers in lieu of cash (at market)         0         7090           8. Margin on deposit with derivatives clearing organizations of contract markets         0         7100           A. Cash         0         7100           B. Securities representing investments of customers' funds (at market)         0         7100           C. Securities held for particular customers or option customers in lieu of cash (at market)         0         7100           9. Net settlement from (to) derivatives clearing organizations of contract markets         0         7130           10. Exchange traded options         0         7132           A. Value of open long option contracts         0         7132           B. Value of open short option contracts         0         7133           1. Net equities with other FCMs <td></td> <td></td> <td></td> <td></td>				
6. Amount required to be segregated (add Lines 4 and 5) \$129,794,371 7060  FUNDS IN SEGREGATED ACCOUNTS  7. Deposited in segregated funds bank accounts A. Cash B. Securities representing investments of customers' funds (at market) C. Securities held for particular customers or option customers in lieu of cash (at market) A. Cash A. Value of pen articular customers or option customers in lieu of cash (at market) C. Securities held for particular customers or option customers in lieu of cash (at market) D. Titol P. Net settlement from (to) derivatives clearing organizations of contract markets A. Value of open long option contracts B. Value of open long option contracts B. Value of open long option contracts A. Value of open short option contracts B. Value of open short option contracts A. Net liquidating equity B. Securities with other FCMS A. Net liquidating equity B. Securities representing investments of customers' funds (at market) C. Securities held for particular customers or option customers in lieu of cash (at market) C. Securities representing investments of customers' funds (at market) C. Securities representing investments of customers in lieu of cash (at market) C. Securities held for particular customers or option customers in lieu of cash (at market) C. Securities network of the period of pen short option contracts C. Securities network of the pen liquidating equity C. S	0.		0	7050
Punds in Segregated funds bank accounts   Punds in segregation (subtract Line 6 from Line 13)   Punds in segregation (subtract Line 6 from Line 13)   Punds in segregation (subtract Line 6 from Line 13)   Punds in segregation (subtract Line 6 from Line 13)   Punds in segregation (subtract Line 6 from Line 13)   Punds in segregation (subtract Line 6 from Line 13)   Punds in segregation (subtract Line 6 from Line 14)   Punds in segregation (subtract Line 6 from Line 13)   Punds in s				
7. Deposited in segregated funds bank accounts       4,827,033       7070         A. Cash       4,827,033       7070         B. Securities representing investments of customers' funds (at market)       0       7080         C. Securities held for particular customers or option customers in lieu of cash (at market)       0       7090         8. Margin on deposit with derivatives clearing organizations of contract markets       0       7100         A. Cash       0       7110         B. Securities representing investments of customers' funds (at market)       0       7110         C. Securities held for particular customers or option customers in lieu of cash (at market)       0       7110         9. Net settlement from (to) derivatives clearing organizations of contract markets       0       7130         10. Exchange traded options       0       7132         A. Value of open long option contracts       0       7132         B. Value of open short option contracts       0       7132         11. Net equities with other FCMs       5,620,906       7140         A. Net liquidating equity       5,620,906       7140         B. Securities representing investments of customers' funds (at market)       0       7150         12. Segregated funds on hand (describe: )       0       0       7150 <t< td=""><td>6.</td><td>Amount required to be segregated (add Lines 4 and 5)</td><td>\$ 129,794,371</td><td>7060</td></t<>	6.	Amount required to be segregated (add Lines 4 and 5)	\$ 129,794,371	7060
7. Deposited in segregated funds bank accounts       4,827,033       7070         A. Cash       4,827,033       7070         B. Securities representing investments of customers' funds (at market)       0       7080         C. Securities held for particular customers or option customers in lieu of cash (at market)       0       7090         8. Margin on deposit with derivatives clearing organizations of contract markets       0       7100         A. Cash       0       7110         B. Securities representing investments of customers' funds (at market)       0       7110         C. Securities held for particular customers or option customers in lieu of cash (at market)       0       7110         9. Net settlement from (to) derivatives clearing organizations of contract markets       0       7130         10. Exchange traded options       0       7132         A. Value of open long option contracts       0       7132         B. Value of open short option contracts       0       7132         11. Net equities with other FCMs       5,620,906       7140         A. Net liquidating equity       5,620,906       7140         B. Securities representing investments of customers' funds (at market)       0       7150         12. Segregated funds on hand (describe: )       0       0       7150 <t< td=""><td></td><td></td><td></td><td></td></t<>				
A. Cash         4,827,033         7070           B. Securities representing investments of customers' funds (at market)         0         7080           C. Securities held for particular customers or option customers in lieu of cash (at market)         0         7090           8. Margin on deposit with derivatives clearing organizations of contract markets         0         7100           B. Securities representing investments of customers' funds (at market)         0         7110           C. Securities held for particular customers or option customers in lieu of cash (at market)         0         7110           9. Net settlement from (to) derivatives clearing organizations of contract markets         0         7130           10. Exchange traded options         0         7132           A. Value of open long option contracts         0         7132           B. Value of open short option contracts         0         7132           11. Net equities with other FCMs         5,620,906         7140           A. Net liquidating equity         5,620,906         7140           B. Securities held for particular customers or option customers in lieu of cash (at market)         0         7150           12. Segregated funds on hand (describe: )         0         7150           13. Total amount in segregation (add Lines 7 through 12)         135,366,559         7180	FUN	DS IN SEGREGATED ACCOUNTS		
B. Securities representing investments of customers' funds (at market)         0 7880           C. Securities held for particular customers or option customers in lieu of cash (at market)         0 7990           8. Margin on deposit with derivatives clearing organizations of contract markets         0 7100           A. Cash         0 7110           B. Securities representing investments of customers' funds (at market)         0 7110           C. Securities held for particular customers or option customers in lieu of cash (at market)         0 7130           9. Net settlement from (to) derivatives clearing organizations of contract markets         0 7130           10. Exchange traded options         0 7132           A. Value of open long option contracts         0 7132           B. Value of open short option contracts         0 7132           A. Net liquidating equity         5,620,906           B. Securities representing investments of customers' funds (at market)         5,620,906           7140         7150           12. Segregated funds on hand (describe: )         0 7150           13. Total amount in segregation (add Lines 7 through 12)         135,366,559         7180           14. Excess (deficiency) funds in segregation (subtract Line 6 from Line 13)         \$5,572,188         7190           15. Management target amount for excess funds in segregation         1,500,000         7194	7.	Deposited in segregated funds bank accounts		
C. Securities held for particular customers or option customers in lieu of cash (at market)         0 7990           8. Margin on deposit with derivatives clearing organizations of contract markets         0 7100           A. Cash         0 7110           B. Securities representing investments of customers' funds (at market)         0 7110           C. Securities held for particular customers or option customers in lieu of cash (at market)         0 7130           9. Net settlement from (to) derivatives clearing organizations of contract markets         0 7130           10. Exchange traded options         0 7132           A. Value of open long option contracts         0 7132           B. Value of open short option contracts         0 7132           11. Net equities with other FCMs         5,620,906         7140           A. Net liquidating equity         5,620,906         7140           B. Securities representing investments of customers' funds (at market)         0 7160           C. Securities held for particular customers or option customers in lieu of cash (at market)         124,918,620         7170           12. Segregated funds on hand (describe: )         0 7150           13. Total amount in segregation (add Lines 7 through 12)         135,366,559         7180           14. Excess (deficiency) funds in segregation (subtract Line 6 from Line 13)         \$5,572,188         7190           15				
8. Margin on deposit with derivatives clearing organizations of contract markets       0 7100         A. Cash       0 7110         B. Securities representing investments of customers' funds (at market)       0 7110         C. Securities held for particular customers or option customers in lieu of cash (at market)       0 7130         9. Net settlement from (to) derivatives clearing organizations of contract markets       0 7130         10. Exchange traded options       0 7132         A. Value of open long option contracts       0 7132         B. Value of open short option contracts       0 7133         11. Net equities with other FCMs       5,620,906 7140         A. Net liquidating equity       5,620,906 7140         B. Securities representing investments of customers' funds (at market)       0 7160         C. Securities held for particular customers or option customers in lieu of cash (at market)       124,918,620 7170         12. Segregated funds on hand (describe: )       0 7150         13. Total amount in segregation (add Lines 7 through 12)       135,366,559 7180         14. Excess (deficiency) funds in segregation (subtract Line 6 from Line 13)       \$5,572,188 7190         15. Management target amount for excess funds in segregation       1,500,000 7194		, ,		—
A. Cash       0 7100         B. Securities representing investments of customers' funds (at market)       0 7110         C. Securities held for particular customers or option customers in lieu of cash (at market)       0 7120         9. Net settlement from (to) derivatives clearing organizations of contract markets       0 7130         10. Exchange traded options       0 7132         A. Value of open long option contracts       0 7132         B. Value of open short option contracts       0 7133         11. Net equities with other FCMs       5,620,906       7140         A. Net liquidating equity       5,620,906       7140         B. Securities representing investments of customers' funds (at market)       124,918,620       7170         12. Segregated funds on hand (describe: )       0 7150         13. Total amount in segregation (add Lines 7 through 12)       135,366,559       7180         14. Excess (deficiency) funds in segregation (subtract Line 6 from Line 13)       \$5,572,188       7190         15. Management target amount for excess funds in segregation       1,500,000       7194	Ω			
C. Securities held for particular customers or option customers in lieu of cash (at market)  9. Net settlement from (to) derivatives clearing organizations of contract markets  10. Exchange traded options  A. Value of open long option contracts  B. Value of open short option contracts  A. Net liquidating equity  A. Net liquidating equity  S. Securities representing investments of customers' funds (at market)  C. Securities held for particular customers or option customers in lieu of cash (at market)  C. Securities held for particular customers or option customers in lieu of cash (at market)  12. Segregated funds on hand (describe: )  13. Total amount in segregation (add Lines 7 through 12)  14. Excess (deficiency) funds in segregation (subtract Line 6 from Line 13)  15. Management target amount for excess funds in segregation  1. Secretary in lieu of cash (at market)  1. Secretary in lieu of cash (a	0.		0	7100
9. Net settlement from (to) derivatives clearing organizations of contract markets  10. Exchange traded options  A. Value of open long option contracts B. Value of open short option contracts D. 7132 B. Value of open short option contracts D. 7133  11. Net equities with other FCMs A. Net liquidating equity B. Securities representing investments of customers' funds (at market) C. Securities held for particular customers or option customers in lieu of cash (at market) C. Segregated funds on hand (describe:)  12. Segregated funds on hand (describe:)  13. Total amount in segregation (add Lines 7 through 12)  14. Excess (deficiency) funds in segregation (subtract Line 6 from Line 13)  15. Management target amount for excess funds in segregation		B. Securities representing investments of customers' funds (at market)	0	7110
10. Exchange traded options A. Value of open long option contracts B. Value of open short option contracts B. Value of open short option contracts C. Securities representing investments of customers' funds (at market) C. Securities held for particular customers or option customers in lieu of cash (at market) C. Segregated funds on hand (describe: ) C. Segregated funds on hand (describe: ) C. Securities representing investments of customers in lieu of cash (at market) C. Segregated funds on hand (describe: ) C. Segregated fu		C. Securities held for particular customers or option customers in lieu of cash (at market)	0	7120
A. Value of open long option contracts B. Value of open short option contracts C. Securities representing investments of customers' funds (at market) C. Securities held for particular customers or option customers in lieu of cash (at market) C. Segregated funds on hand (describe: ) C. Segregated funds on hand (describe: ) C. Securities representing investments of customers in lieu of cash (at market) C. Segregated funds on hand (describe: ) C.	9.	Net settlement from (to) derivatives clearing organizations of contract markets	0	7130
B. Value of open short option contracts  11. Net equities with other FCMs  A. Net liquidating equity  B. Securities representing investments of customers' funds (at market)  C. Securities held for particular customers or option customers in lieu of cash (at market)  12. Segregated funds on hand (describe: )  13. Total amount in segregation (add Lines 7 through 12)  14. Excess (deficiency) funds in segregation (subtract Line 6 from Line 13)  15. Management target amount for excess funds in segregation	10.	Exchange traded options		
11. Net equities with other FCMs  A. Net liquidating equity  B. Securities representing investments of customers' funds (at market)  C. Securities held for particular customers or option customers in lieu of cash (at market)  12. Segregated funds on hand (describe: )  13. Total amount in segregation (add Lines 7 through 12)  14. Excess (deficiency) funds in segregation (subtract Line 6 from Line 13)  15. Management target amount for excess funds in segregation		A. Value of open long option contracts		
A. Net liquidating equity  B. Securities representing investments of customers' funds (at market)  C. Securities held for particular customers or option customers in lieu of cash (at market)  12. Segregated funds on hand (describe: )  13. Total amount in segregation (add Lines 7 through 12)  14. Excess (deficiency) funds in segregation (subtract Line 6 from Line 13)  15. Management target amount for excess funds in segregation  16. New York of Table 19.  17. Annual Particular Customers or option customers in lieu of cash (at market)  18. Segregated funds on hand (describe: )  19. Total amount in segregation (add Lines 7 through 12)  19. Segregated funds on hand (describe: )  19. Total amount in segregation (subtract Line 6 from Line 13)  19. Segregated funds on hand (describe: )  19. Total amount in segregation (subtract Line 6 from Line 13)  19. Segregated funds on hand (describe: )  19. Total amount in segregation (subtract Line 6 from Line 13)  19. Segregated funds on hand (describe: )  19. Total amount in segregation (subtract Line 6 from Line 13)  19. Segregated funds on hand (describe: )  19. Total amount in segregation (subtract Line 6 from Line 13)		B. Value of open short option contracts	0	7133
B. Securities representing investments of customers' funds (at market)  C. Securities held for particular customers or option customers in lieu of cash (at market)  12. Segregated funds on hand (describe: )  13. Total amount in segregation (add Lines 7 through 12)  14. Excess (deficiency) funds in segregation (subtract Line 6 from Line 13)  15. Management target amount for excess funds in segregation  17.500,000  18. O 7150  19. O 715	11.		E 620 000	
C. Securities held for particular customers or option customers in lieu of cash (at market)  124,918,620 7170  12. Segregated funds on hand (describe: )  13. Total amount in segregation (add Lines 7 through 12)  14. Excess (deficiency) funds in segregation (subtract Line 6 from Line 13)  15. Management target amount for excess funds in segregation  16. Securities held for particular customers or option customers in lieu of cash (at market)  124,918,620 7170  135,366,559 7180  14. Excess (deficiency) funds in segregation (subtract Line 6 from Line 13)  15. Management target amount for excess funds in segregation				
13. Total amount in segregation (add Lines 7 through 12)  14. Excess (deficiency) funds in segregation (subtract Line 6 from Line 13)  15. Management target amount for excess funds in segregation  17.500,000 7194			124,918,620	-
14. Excess (deficiency) funds in segregation (subtract Line 6 from Line 13)  \$ 5,572,188 7190  15. Management target amount for excess funds in segregation  1,500,000 7194	12.	Segregated funds on hand (describe: )	0	7150
15. Management target amount for excess funds in segregation 1,500,000 7194	13.	Total amount in segregation (add Lines 7 through 12)	135,366,559	7180
1070 400	14.	Excess (deficiency) funds in segregation (subtract Line 6 from Line 13)	\$ 5,572,188	7190
16. Excess (deficiency) funds in segregation over (under) management target amount excess 4,072,188 7198	15.	Management target amount for excess funds in segregation	1,500,000	7194
	16.	Excess (deficiency) funds in segregation over (under) management target amount excess	4,072,188	7198

# SUPPLEMENT TO FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT PART II

BROKER OR DEALER: PICTET OVERSEAS INC. as of: 08/31/2023

## STATEMENT OF CLEARED SWAPS SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

### **Cleared Swaps Customer Requirements**

1.	Net ledger balance A. Cash	\$ 0 0	8500
	B. Securities (at market)		8510
2.	Net unrealized profit (loss) in open cleared swaps	0	8520
3.	Cleared swaps options  A. Market value of open cleared swaps option contracts purchased  B. Market value of open cleared swaps option contracts granted (sold)	0	8530 8540
4.	Net equity (deficit) (add lines 1, 2 and 3)	0	8550
5.	Accounts liquidating to a deficit and accounts with debit balances  - gross amount  Less: amount offset by customer owned securities  \$ 0 8560  8570	0	8580
6.	Amount required to be segregated for cleared swaps customers (add lines 4 and 5)	0	8590
<u>Fun</u> 7.	ds in Cleared Swaps Customer Segregated Accounts  Deposited in cleared swaps customer segregated accounts at banks  A. Cash	\$ 0	8600
	B. Securities representing investments of cleared swaps customers' funds (at market)	0	8610
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	0	8620
8.	Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts		
	A. Cash	0	8630
	B. Securities representing investments of cleared swaps customers' funds (at market)	0	8640
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	0	8650
9.	Net settlement from (to) derivatives clearing organizations	0	8660
10.	Cleared swaps options		
	A. Value of open cleared swaps long option contracts	0	8670
	B. Value of open cleared swaps short option contracts	0	8680
11.	Net equities with other FCMs		
	A. Net liquidating equity	0	8690 8700
	B. Securities representing investments of cleared swaps customers' funds (at market)     C. Securities held for particular cleared swaps customers in lieu of cash (at market)		8710
10		0	8715
12.	Cleared swaps customer funds on hand (describe: )		
13.	Total amount in cleared swaps customer segregation (add lines 7 through 12)	0	8720
14.	Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)	\$ 0	8730
15.	Management target Amount for Excess funds in cleared swaps segregated accounts	\$ 0	8760
16.	Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) management target excess	\$ 0	8770

## SUPPLEMENT TO FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT PART II

**BROKER OR DEALER:** PICTET OVERSEAS INC. as of: 08/31/2023 STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION FOR CUSTOMERS' DEALER OPTIONS ACCOUNTS \$0 7200 Amount required to be segregated in accordance with 17 CFR 32.6 Funds/property in segregated accounts \$0 A. Cash 7210 0 7220 B. Securities (at market value) 0 C. Total funds/property in segregated accounts 7230

## SUPPLEMENT TO FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT PART II

\$0

7240

BROKER OR DEALER: PICTET OVERSEAS INC. as of: 08/31/2023

### STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS PURSUANT TO COMMISSION REGULATION 30.7

#### FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

Excess (deficiency) funds in segregation (subtract Line 2C from Line 1)

	Amount required to be set aside pursuant to law, rule or regulation		
	of a foreign government or a rule of a self-regulatory organization	Φ.0	
	authorized thereunder	\$ 0	7305
1.	Net ledger balance - Foreign futures and foreign options trading - All customers		
	A. Cash	\$ 4,213,106	7315
	B. Securities (at market)	\$ 37,693,539	7317
2.	Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade	\$ 4,937,586	7325
3.	Exchange traded options		
	A. Market value of open option contracts purchased on a foreign board of trade	\$ 79	7335
	B. Market value of open option contracts granted (sold) on a foreign board of trade	\$(65)	7337
4.	Net equity (deficit) (add Lines 1, 2, and 3)	\$ 46,844,245	7345
5.	Accounts liquidating to a deficit and accounts with		
	debit balances - gross amount \$0 7351		
	Less: Amount offset by customer owned securities \$0 7352	\$ 0	7354
6.	Amount required to be set aside as the secured amount - Net liquidating equity method (add Lines 4 and 5)	\$ 46,844,245	7355
7.	Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or Line 6.	\$ 46,844,245	7360

#### **SUPPLEMENT TO**

## FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT PART II

BROKER OR DEALER: PICTET OVERSEAS INC. as of: 08/31/2023

## STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS PURSUANT TO COMMISSION REGULATION 30.7

### FUNDS DEPOSITED IN SEPARATE 17 CFR. 30.7 ACCOUNTS

1. Cash in banks				
A. Banks located in the United States	\$ 1,467,661	7500		
B. Other banks qualified under 17 CFR. 30.7	_			
Name(s): See Attached 7510	0	7520	\$ 1,467,661	7530
2. Securities				
A. In safekeeping with banks located in the United States	\$0	7540		
B. In safekeeping with other banks designated by 17 CFR. 30.7				
Name(s): 7550	0	7560	0	7570
3. Equities with registered futures commission merchants				
A. Cash	\$ 7,838,936	7580		
B. Securities	10,452,808	7590		
C. Unrealized gain (loss) on open futures contracts	320,884	7600		
D. Value of long option contracts	0	7610		
E. Value of short option contracts	0	7615	18,612,628	7620
4. Amounts held by clearing organizations of foreign boards of trade				
Name(s): _ 7630				
A. Cash	\$0	7640		
B. Securities	0	7650		
C. Amount due to (from) clearing organization - daily variation	0	7660		
D. Value of long option contracts	0	7670		
E. Value of short option contracts	0	7675	0	7680
5. Amounts held by members of foreign boards of trade				
Name(s): See Attached 7690				
A. Cash	\$(1,424,238)	7700		
B. Securities	27,240,731	7710		
C. Unrealized gain (loss) on open futures contracts	4,368,710	7720		
D. Value of long option contracts	79	7730		
E. Value of short option contracts	(65)	7735	30,185,217	7740
Amounts with other depositories designated by a foreign board of trade				
Name(s): _ 7750			0	7760
7. Segregated funds on hand (describe):			0	7765
8. Total funds in separate 17 CFR 30.7 accounts			\$ 50,265,506	7770
			<del></del>	1110
9. Excess (deficiency) set aside funds for secured amount (Line Item 7770 minus Line Item 7360)			3,421,261	7380
			900,000	=
10. Management target amount for excess funds in separate 17 CFR 30. 7 accounts			900,000	7780
11. Excess (deficiency) funds in separate 17 CFR 30. 7 accounts			2,521,261	7785
over (under) management target excess			2,521,201	1100