

WINJAMMER FILING

INITIAL

End Date:9/22/2022

Firm Name:PICTET OVERSEAS INC

Form:Daily Seg - FOCUS II

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Daily Segregation - Cover Page

Name of Company	<u>PICTET OVERSEAS INC</u>
Contact Name	<u>Marie-Chantal Jean</u>
Contact Phone Number	<u>514-350-6270</u>
Contact Email Address	<u>mjean@pictet.com</u>
FCM's Customer Segregated Funds Residual Interest Target (choose one):	
a. Minimum dollar amount: ; or	<u>1,500,000</u>
b. Minimum percentage of customer segregated funds required:% ; or	<u>0</u>
c. Dollar amount range between:and; or	<u>0 0</u>
d. Percentage range of customer segregated funds required between:% and%.	<u>0 0</u>
FCM's Customer Secured Amount Funds Residual Interest Target (choose one):	
a. Minimum dollar amount: ; or	<u>900,000</u>
b. Minimum percentage of customer secured funds required:% ; or	<u>0</u>
c. Dollar amount range between:and; or	<u>0 0</u>
d. Percentage range of customer secured funds required between:% and%.	<u>0 0</u>
FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):	
a. Minimum dollar amount: ; or	<u>0</u>
b. Minimum percentage of cleared swaps customer collateral required:% ; or	<u>0</u>
c. Dollar amount range between:and; or	<u>0 0</u>
d. Percentage range of cleared swaps customer collateral required between:% and%.	<u>0 0</u>
Current ANC: on	<u>50,472,127 31-JUL-2022</u>
Broker Dealer Minimum	<u>109,706</u>
Debit/Deficit - Customers Current Amount Gross Amount	
Domestic Debit/Deficit	<u>0</u>
Foreign Debit/Deficit	<u>0 0</u>
Debit/Deficit - Non Customers Current Amount Gross Amount	
Domestic Debit/Deficit	<u>0 0</u>
Foreign Debit/Deficit	<u>0 0</u>
Proprietary Profit/Loss	
Domestic Profit/Loss	<u>0</u>
Foreign Profit/Loss	<u>0</u>
Proprietary Open Trade Equity	
Domestic OTE	<u>0</u>
Foreign OTE	<u>0</u>
SPAN	
Customer SPAN Calculation	<u>164,127,611</u>
Non-Customer SPAN Calculation	<u>0</u>
Proprietary Capital Charges	<u>0</u>
Minimum Dollar Amount Requirement	<u>1,000,000</u> [7465]
Other NFA Dollar Amount Requirement	<u>0</u> [7475]

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Daily Segregation - Secured Amounts

	Foreign Futures and Foreign Options Secured Amounts	
	Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder	<u>0</u> [7305]
1.	Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers	
	A. Cash	<u>845,989</u> [7315]
	B. Securities (at market)	<u>53,061,684</u> [7317]
2.	Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade	<u>19,642,825</u> [7325]
3.	Exchange traded options	
	a. Market value of open option contracts purchased on a foreign board of trade	<u>137,102</u> [7335]
	b. Market value of open contracts granted (sold) on a foreign board of trade	<u>-14,747</u> [7337]
4.	Net equity (deficit) (add lines 1. 2. and 3.)	<u>73,672,853</u> [7345]
5.	Account liquidating to a deficit and account with a debit balances - gross amount	<u>0</u> [7351]
	Less: amount offset by customer owned securities	<u>0</u> [7352] <u>0</u> [7354]
6.	Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)	<u>73,672,853</u> [7355]
7.	Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.	<u>73,672,853</u> [7360]
	FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS	
1.	Cash in banks	
	A. Banks located in the United States	<u>2,529,741</u> [7500]
	B. Other banks qualified under Regulation 30.7	<u>0</u> [7520] <u>2,529,741</u> [7530]
2.	Securities	
	A. In safekeeping with banks located in the United States	<u>0</u> [7540]
	B. In safekeeping with other banks qualified under Regulation 30.7	<u>0</u> [7560] <u>0</u> [7570]
3.	Equities with registered futures commission merchants	
	A. Cash	<u>11,550,396</u> [7580]
	B. Securities	<u>14,915,086</u> [7590]
	C. Unrealized gain (loss) on open futures contracts	<u>-292,511</u> [7600]
	D. Value of long option contracts	<u>107,387</u> [7610]
	E. Value of short option contracts	<u>0</u> [7615] <u>26,280,358</u> [7620]
4.	Amounts held by clearing organizations of foreign boards of trade	
	A. Cash	<u>0</u> [7640]
	B. Securities	<u>0</u> [7650]
	C. Amount due to (from) clearing organization - daily variation	<u>0</u> [7660]
	D. Value of long option contracts	<u>0</u> [7670]
	E. Value of short option contracts	<u>0</u> [7675] <u>0</u> [7680]
5.	Amounts held by members of foreign boards of trade	
	A. Cash	<u>-10,102,668</u> [7700]
	B. Securities	<u>38,146,597</u> [7710]
	C. Unrealized gain (loss) on open futures contracts	<u>19,797,069</u> [7720]
	D. Value of long option contracts	<u>29,714</u> [7730]
	E. Value of short option contracts	<u>-14,747</u> [7735] <u>47,855,965</u> [7740]
6.	Amounts with other depositories designated by a foreign board of trade	<u>0</u> [7760]
7.	Segregated funds on hand	<u>0</u> [7765]
8.	Total funds in separate section 30.7 accounts	<u>76,666,064</u> [7770]
9.	Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)	<u>2,993,211</u> [7380]
10.	Management Target Amount for Excess funds in separate section 30.7 accounts	<u>900,000</u> [7780]
11.	Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target	<u>2,093,211</u> [7785]

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Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS(Section 4d(2) of the CEAct)

1.	Net ledger balance	
	A. Cash	<u>-17,799,656</u> [7010]
	B. Securities (at market)	<u>153,673,775</u> [7020]
2.	Net unrealized profit (loss) in open futures contracts traded on a contract market	<u>28,352,511</u> [7030]
3.	Exchange traded options	
	A. Add market value of open option contracts purchased on a contract market	<u>22,610,373</u> [7032]
	B. Deduct market value of open option contracts granted (sold) on a contract market	<u>-28,686,798</u> [7033]
4.	Net equity (deficit) (add lines 1, 2 and 3)	<u>158,150,205</u> [7040]
5.	Accounts liquidating to a deficit and accounts with debit balances - gross amount	<u>2,098,024</u> [7045]
	Less: amount offset by customer securities	<u>-2,098,024</u> [7047] <u>0</u> [7050]
6.	Amount required to be segregated (add lines 4 and 5)	<u>158,150,205</u> [7060]
	FUNDS IN SEGREGATED ACCOUNTS	
7.	Deposited in segregated funds bank accounts	
	A. Cash	<u>4,825,286</u> [7070]
	B. Securities representing investments of customers' funds (at market)	<u>0</u> [7080]
	C. Securities held for particular customers or option customers in lieu of cash (at market)	<u>0</u> [7090]
8.	Margins on deposit with derivatives clearing organizations of contract markets	
	A. Cash	<u>0</u> [7100]
	B. Securities representing investments of customers' funds (at market)	<u>0</u> [7110]
	C. Securities held for particular customers or option customers in lieu of cash (at market)	<u>0</u> [7120]
9.	Net settlement from (to) derivatives clearing organizations of contract markets	<u>0</u> [7130]
10.	Exchange traded options	
	A. Value of open long option contracts	<u>0</u> [7132]
	B. Value of open short option contracts	<u>0</u> [7133]
11.	Net equities with other FCMs	
	A. Net liquidating equity	<u>4,634,078</u> [7140]
	B. Securities representing investments of customers' funds (at market)	<u>0</u> [7160]
	C. Securities held for particular customers or option customers in lieu of cash (at market)	<u>153,673,775</u> [7170]
12.	Segregated funds on hand	<u>0</u> [7150]
13.	Total amount in segregation (add lines 7 through 12)	<u>163,133,139</u> [7180]
14.	Excess (deficiency) funds in segregation (subtract line 6 from line 13)	<u>4,982,934</u> [7190]
15.	Management Target Amount for Excess funds in segregation	<u>1,500,000</u> [7194]
16.	Excess (deficiency) funds in segregation over (under) Management Target Amount	<u>3,482,934</u> [7198]
	Excess	

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Daily Segregation - Supplemental

- Total gross margin deficiencies - Segregated Funds Origin **0** [9100]
- Total gross margin deficiencies - Secured Funds Origin **0** [9101]
- Total gross margin deficiencies - Cleared Swaps Customer Collateral Funds Origin **0** [9102]
- Total gross margin deficiencies - Noncustomer and Proprietary Accounts Origin **0** [9103]
- Total number of accounts contributing to total gross margin deficiencies - Segregated Funds Origin **0** [9104]
- Total number of accounts contributing to total gross margin deficiencies - Secured Funds Origin **0** [9105]
- Total number of accounts contributing to the total gross margin deficiencies - Cleared Swaps Customer Collateral Funds Origin **0** [9106]
- Total number of accounts contributing to the total gross margin deficiencies - Noncustomer and Proprietary Accounts Origin **0** [9107]
- Upload a copy of the firm's daily margin report the FCM uses to issue margin calls which corresponds with the reporting date.

Margin calls - Client 20220922.PDF

Virtual Currency

Identify the virtual currency products traded by customers and noncustomers:

Bitcoin futures

No [VC05]

Bitcoin options

No [VC06]

Ether futures

No [VC07]

Micro bitcoin futures

No [VC08]

Other:

No [VC09] **0** [VC10]

Identify the number of customers with open virtual currency futures/options?

0 [VC11]

Identify the number of noncustomers with open virtual currency futures/options?

0 [VC12]

Total open long customer/noncustomer virtual currency derivatives positions:

0 [VC03]

Total open short customer/noncustomer virtual currency derivatives positions:

0 [VC04]

Identify the virtual currency products traded for proprietary purposes:

Bitcoin futures

No [VC13]

Bitcoin options

No [VC14]

Ether futures

No [VC15]

Micro bitcoin futures

No [VC16]

Other:

No [VC17] **0** [VC18]

Total open long proprietary virtual currency derivatives positions:

0 [VC19]

Total open short proprietary virtual currency derivatives positions:

0 [VC20]

Micro

Identify the micro contracts traded by customers and noncustomers:

Equity

Yes [MC01]

Interest Rate

No [MC02]

Metals

No [MC03]

Energy

No [MC04]

FX Futures

Yes [MC05]

Other

No [MC06] **0** [MC07]

Identify the number of customers with open micro contracts?

1 [MC08]

Identify the number of noncustomers with open micro contracts?

0 [MC09]

Total open customer/noncustomer long micro positions:

0 [MC10]

Total open customer/noncustomer short micro positions:

359 [MC11]

Identify the micro contracts traded for proprietary purposes:

Equity

No [MC12]

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Interest Rate

Metals

Energy

FX Futures

Other

Total open long proprietary micro positions:

Total open short proprietary micro positions:

No [MC13]

No [MC14]

No [MC15]

No [MC16]

No [MC17] **0** [MC18]

0 [MC19]

0 [MC20]

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Daily Segregation - Swaps StatementSTATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS
AND
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

	Cleared Swaps Customer Requirements	
1.	Net ledger balance	
	A. Cash	<u>0</u> [8500]
	B. Securities (at market)	<u>0</u> [8510]
2.	Net unrealized profit (loss) in open cleared swaps	<u>0</u> [8520]
3.	Cleared swaps options	
	A. Market value of open cleared swaps option contracts purchased	<u>0</u> [8530]
	B. Market value of open cleared swaps option contracts granted (sold)	<u>0</u> [8540]
4.	Net Equity (deficit) (add lines 1, 2, and 3)	<u>0</u> [8550]
5.	Accounts liquidating to a deficit and accounts with debit balances - gross amount	<u>0</u> [8560]
	Less: amount offset by customer owned securities	<u>0</u> [8570] <u>0</u> [8580]
6.	Amount required to be segregated for cleared swaps customers (add lines 4 and 5) Funds in Cleared Swaps Customer Segregated Accounts	<u>0</u> [8590]
7.	Deposited in cleared swaps customer segregated accounts at banks	
	A. Cash	<u>0</u> [8600]
	B. Securities representing investments of cleared swaps customers' funds (at market)	<u>0</u> [8610]
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	<u>0</u> [8620]
8.	Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts	
	A. Cash	<u>0</u> [8630]
	B. Securities representing investments of cleared swaps customers' funds (at market)	<u>0</u> [8640]
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	<u>0</u> [8650]
9.	Net settlement from (to) derivatives clearing organizations	<u>0</u> [8660]
10.	Cleared swaps options	
	A. Value of open cleared swaps long option contracts	<u>0</u> [8670]
	B. Value of open cleared swaps short option contracts	<u>0</u> [8680]
11.	Net equities with other FCMs	
	A. Net liquidating equity	<u>0</u> [8690]
	B. Securities representing investments of cleared swaps customers' funds (at market)	<u>0</u> [8700]
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	<u>0</u> [8710]
12.	Cleared swaps customer funds on hand	
	A. Cash	<u>0</u>
	B. Securities representing investments of cleared swaps customers' funds (at market)	<u>0</u>
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	<u>0</u> <u>0</u> [8715]
13.	Total amount in cleared swaps customer segregation (add lines 7 through 12)	<u>0</u> [8720]
14.	Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)	<u>0</u> [8730]
15.	Management Target Amount for Excess funds in cleared swaps segregated accounts	<u>0</u> [8760]
16.	Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management	<u>0</u> [8770]