

## Account holder (hereinafter the "Member")

Last name: \_\_\_\_\_

First name: \_\_\_\_\_

AVS no.: \_\_\_\_\_

## Investment experience

*This section is used to determine your level of knowledge and experience with a view to investing in one or more of the portfolios offered by the Foundation.*

### What is your level of experience and/or training in capital markets?

*(Only one answer possible)*

- |  |   |
|--|---|
| <input type="checkbox"/> No specific experience and/or training  | 0 |
| <input type="checkbox"/> Basic experience and/or training (e.g. occasional investments or introductory courses)                                      | 3 |
| <input type="checkbox"/> Relevant experience and/or specialised training (e.g. regular investments made personally, university degree or equivalent) | 6 |
| <input type="checkbox"/> Solid experience (e.g. experience gained by investing for a number of years)  | 9 |

## Financial situation

*This section is used to assess the level of risk you are able to bear based on your financial situation.*

### What is the total value of your assets (including pension assets, but excluding real estate)?

*(Only one answer possible)*

- |  |   |
|--|---|
| <input type="checkbox"/> Less than CHF 200,000                 | 0 |
| <input type="checkbox"/> Between CHF 200,000 and 500,000       | 2 |
| <input type="checkbox"/> Between CHF 500,000 and CHF 1,000,000 | 4 |
| <input type="checkbox"/> More than CHF 1,000,000               | 6 |

### What percentage of your total assets (including pension assets, but excluding real estate) do you wish to invest from your vested benefits?

*(Only one answer possible)*

- |  |   |
|--|---|
| <input type="checkbox"/> More than 50%       | 0 |
| <input type="checkbox"/> Between 10% and 50% | 3 |
| <input type="checkbox"/> Less than 10%       | 6 |



## Investment objectives

This section is used to assess the level of risk you would be willing to bear for the account held with the Foundation.

### What is your investment objective?

(Only one answer possible)

- |   |   |
|---|---|
| <input type="checkbox"/> Capital preservation                           | 0 |
| <input type="checkbox"/> Income generation with slight capital growth   | 2 |
| <input type="checkbox"/> Income generation with moderate capital growth | 4 |
| <input type="checkbox"/> Focus on capital growth                        | 6 |

### What is your investment horizon? (Only one answer possible)

Your horizon reflects the period during which you do not expect to need most of the capital invested. When choosing your investment horizon, please take into account any future plans to purchase residential property, leave Switzerland permanently or become self-employed.

- |   |   |
|---|---|
| <input type="checkbox"/> Less than 1 year       | 0 |
| <input type="checkbox"/> Between 1 and 3 years  | 2 |
| <input type="checkbox"/> Between 3 and 5 years  | 4 |
| <input type="checkbox"/> Between 5 and 10 years | 6 |
| <input type="checkbox"/> More than 10 years     | 8 |

### In the event of market turbulence, how would you react to a decrease of 10% or more in the value of your investments in a short period of time (one month or less)? (Only one answer possible)

This question does not refer to any particular financial instrument or market, nor to any performance simulations, past or future. It serves to determine your attitude to risk in order to establish your profile.

- |   |   |
|---|---|
| <input type="checkbox"/> I would consider reducing the risks  | 0 |
| <input type="checkbox"/> I would maintain my positions with a view to a long-term investment strategy | 3 |
| <input type="checkbox"/> I would take the opportunity to increase the risks                           | 6 |

### How would you react if the decrease in value had not been completely recovered one year later?

(Only one answer possible)

- |   |   |
|---|---|
| <input type="checkbox"/> I would consider reducing the risks  | 0 |
| <input type="checkbox"/> I would maintain my positions with a view to a long-term investment strategy | 3 |
| <input type="checkbox"/> I would take the opportunity to increase the risk                            | 6 |

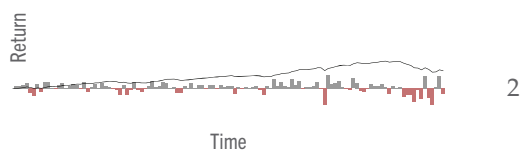


### What is your attitude to risk?

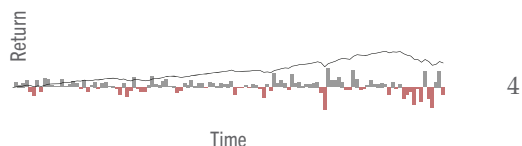
(Only one answer possible)

The examples mentioned below are fictitious and are given for illustrative purposes only. They do not refer to any particular financial instrument or market, nor to any performance simulations, past or future. They serve only to enable the Foundation to determine your attitude to risk.

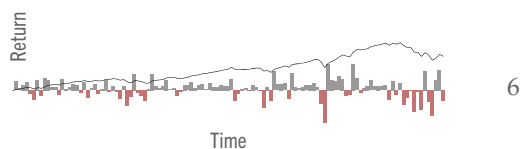
**a. Very low risk tolerance** – no willingness to invest in risky assets or willingness to invest only a very small portion in risky assets. The annual rate of return likely to be achieved and the risk of capital loss are very low.



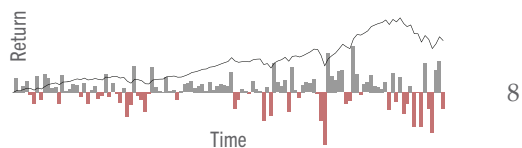
**b. Low risk tolerance** – willingness to invest a small portion of assets in risky assets. The annual rate of return likely to be achieved and the risk of capital loss are low.



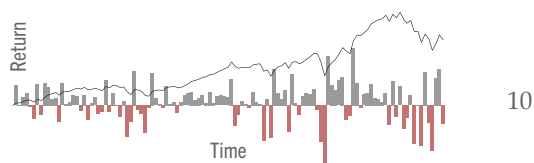
**c. Moderate risk tolerance** – willingness to invest a moderate portion of assets in risky assets. The annual rate of return likely to be achieved and the risk of capital loss are moderate.



**d. High risk tolerance** – willingness to invest a significant portion of assets in risky or illiquid assets. The annual rate of return likely to be achieved and the risk of capital loss are high.



**e. Very high risk tolerance** – willingness to invest all assets in risky or illiquid assets. The annual rate of return likely to be achieved and the risk of capital loss are very high.



Total points: \_\_\_\_



## Results and definitions of the risk profiles

1. Very low level	
0 to 10 points	This risk profile is generally associated with a very short-term investment horizon and a very low tolerance/propensity to risk. Members with this profile are either not willing to invest in risky assets or willing to invest only a very small portion in risky assets. The annual rate of return likely to be achieved and the risk of capital loss are very low.
2. Low level	
11 to 20 points	This risk profile is generally associated with a short-term investment horizon and low tolerance/propensity to risk. Members with this profile are willing to invest a small portion of their assets in risky assets. The annual rate of return likely to be achieved and the risk of capital loss are low.
3. Moderate level	
21 to 30 points	This risk profile is generally associated with a medium-term investment horizon and a moderate tolerance/propensity to risk. Members with this profile are willing to invest a moderate portion of their assets in risky assets. The annual rate of return likely to be achieved and the risk of capital loss are moderate.
4. High level	
31 to 40 points	This risk profile is generally associated with a medium- to long-term investment horizon and a high tolerance/propensity to risk. Members with this profile are willing to invest a significant portion of their assets in risky or illiquid assets. The annual rate of return likely to be achieved and the risk of capital loss are high.
5. Very high level	
Over 41 points	This risk profile is generally associated with a long-term investment horizon and a very high tolerance/propensity to risk. Members with this profile are willing to invest all their assets in risky or illiquid assets. The annual rate of return likely to be achieved and the risk of capital loss are very high.



Risk level	Authorised portfolios
1	LPP/BVG-Short-Term Money Market ESG
2	LPP/BVG-Short-Term Money Market ESG LPP/BVG-Short-Mid Term Bonds LPP/BVG-10 ESG LPP/BVG-25 ESG
3	LPP/BVG-Short-Term Money Market ESG LPP/BVG-Short-Mid Term Bonds LPP/BVG-10 ESG LPP/BVG-25 ESG LPP/BVG-Multi Asset Flexible LPP/BVG-40 ESG
4	LPP/BVG-Short-Term Money Market ESG LPP/BVG-Short-Mid Term Bonds LPP/BVG-10 ESG LPP/BVG-25 ESG LPP/BVG-Multi Asset Flexible LPP/BVG-40 ESG LPP/BVG-60 ESG
5	LPP/BVG-Short-Term Money Market ESG LPP/BVG-Short-Mid Term Bonds LPP/BVG-10 ESG LPP/BVG-25 ESG LPP/BVG-Multi Asset Flexible LPP/BVG-40 ESG LPP/BVG-60 ESG

**Declaration**

The Member confirms that they have answered all questions accurately and completely, that they have added up the points correctly and that they understand the definitions of the risk profiles.

The portfolio(s) they have chosen:

- correspond(s) to their risk profile.
- do(es) not correspond to their risk profile. In this case, the Member confirms that they have understood the additional risks associated with the chosen portfolio(s) and agree to bear these risks alone.

By subscribing to one or more portfolios, the Member confirms that they have understood the risks associated and agree to bear these risks alone.

The Member is aware and accepts that the Foundation does not monitor the choice of portfolio(s), in particular in relation to the Member’s profile, nor does it check the relevance of this choice at a later date.

The Member acknowledges that the Foundation does not undertake to achieve a specific performance, and past performance is no guarantee of future performance.

**Date (dd/mm/yyyy)** \_\_\_\_\_

**Signature of Member** \_\_\_\_\_



